

FEDERAL COMPETITIVE PROGRAM GUIDANCE JUNE 2025

31 BRIDGEVILLE LARSHINGTON

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थप जानकारीको लागि SPC (412) 391-5590 मा फोन गर्नुहोस्।

Gujarati

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Oriya

ଏହି ଡକ୍ୟୁମେଣ୍ଟର ଅନୁରୋଧରେ ବୈକଞ୍ଚିକ ପର୍ମାଟରେ ଉପଲହି। କୌଣସି ଚାର୍ଲ୍ ଛଡ଼ା ଏସପିସି ଅନୁରାଦ ଏବଂ ତ୍ୟାଖ୍ୟା ସେବା ପ୍ରଦାନ କରିବି। ଜୟାକରି ଅଧିକ ସୁବନା ପାଇଁ ଏସପିସି (412) 391-5590 ରେ କଲ୍ କରନ୍ତୁ।

Punjabi:

ਇਹ ਦਸਤਾਵੇਜ਼ ਬੇਨਤੀ ਕਰਨ 'ਤੇ ਵਿਕਲਪਕ ਰੂਪਾਂ ਵਿੱਚ ਉਪਲਬਧ ਹੈ। SPC ਬਿਨਾਂ ਕਿਸੇ ਖਰਚ 'ਤੇ ਬੇਨਤੀ 'ਤੇ ਅਨੁਵਾਦ ਅਤੇ ਦੁਭਾਸ਼ੀਆ ਸੇਵਾਵਾਂ

ਪ੍ਰਦਾਨ ਕਰੇਗਾ।

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Marathi

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Hindi

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ڪريو. Urdu

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I. Introduction/How to Use this Document

This document has been prepared to guide sponsors of candidate projects through the Southwestern Pennsylvania Commission's (SPC) competitive application process for the Congestion Mitigation and Air Quality Improvement (CMAQ) Program, the Carbon Reduction Program (CRP), and the Transportation Alternatives Set-Aside (TASA) Program.

SPC is the federally mandated Metropolitan Planning Organization (MPO) for the Southwestern Pennsylvania region, which encompasses the counties of Allegheny, Armstrong, Beaver, Butler, Fayette, Greene, Indiana, Lawrence, Washington, and Westmoreland. SPC receives federal funds for the CMAQ, CRP, and TASA programs to be used for projects that meet applicable state and federal guidelines, eligibility, and regulations.

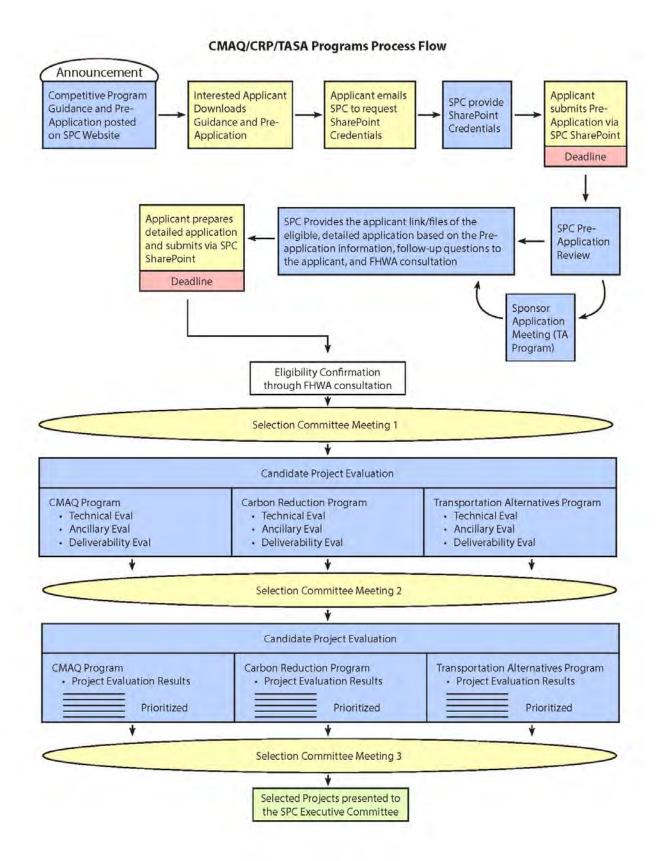
This combined guidance will help potential sponsors make informed decisions about their eligibility and maximize funding opportunities for communities seeking to invest in and expand transportation choices beyond traditional highway programs. Where projects are eligible for multiple programs SPC staff will evaluate the project in all eligible programs.

Chapter II of this guidance provides an overview that is intended to help sponsors understand and compare the programs' objectives/requirements, sponsor eligibility, eligible activities, the application processes, project selection processes, and required funding commitments.

Chapter III of this guidance provides a discussion of the importance of project readiness and project deliverability that applies to all three programs.

The appendices include the detailed program guidance specific and regulatory requirements pertaining to each of the programs. Due to the similarity of the programs the CMAQ and CMP are both contained in a single guidance in *Appendix A1*.

The flowchart in *Figure 1* provides an overview of the CMAQ/CRP/TASA process, which will be further described in this guide. Perspective applicants to the CMAQ/CRP/TASA programs should monitor SPC's website and social media for announcements regarding the opening of these programs. Downloading, completing, and submitting the SPC Competitive Programs Pre-Application is the first step in the process. Following this submission, SPC will supply the applicant with the appropriate detailed application forms for their project. Once these forms are submitted, they will be reviewed, evaluated, and ranked by SPC staff. SPC staff will provide the results of the evaluation and ranking to the SPC Federal Competitive Programs Selection Committee. This committee will recommend potential Federal projects to SPC's Transportation Technical Committee and Executive Committee for their approval.



II. Overview of Programs

Each Transportation Improvement Program (TIP) cycle, and dependent upon availability of funds, SPC solicits project proposals for four competitive programs funded through the Federal Highway Administration:

- CMAQ funds for transportation projects that improve air quality,
- CRP funds for projects that reduce transportation emissions and include carbon reduction strategies,
- TASA funds for transportation projects like pedestrian and bicycle facilities, trails, safe routes to school, etc.

Sponsors must acknowledge that Congress may reauthorize the Federal Transportation Act in the middle of the 2027 TIP update process, resulting in changes in the program's existence and funding levels. If funding levels for the SPC CMAQ/CRP/TASA programs are changed, the approved new project list will be revisited and adjusted to maintain consistency with new program funding levels.

A. Background of SPC Competitive Transportation Programs

1. Congestion Mitigation & Air Quality Improvement Program (CMAQ)

The federal CMAQ program provides funds for transportation projects and programs that will contribute to attainment or maintenance of the <u>national ambient air quality standards (NAAQS)</u> for ozone, carbon monoxide (CO), and particulate matter (PM).

The CMAQ program supports two important goals of the United States Department of Transportation: improving air quality and relieving congestion. It is SPC policy to program projects on the Transportation Improvement Program (TIP) for CMAQ funding that provide the best air quality benefit for the investment, consistent with Federal Highway Administration (FHWA) CMAQ Program Guidance (Congestion Mitigation and Air Quality Improvement (CMAQ) Program Interim Guidance as Revised by the Infrastructure Investment and Jobs Act, Effective November 6, 2024)) and changes stipulated as part of the <u>Bipartisan Infrastructure Law (BIL)</u>. Projects selected for CMAQ funding are also expected to be consistent with the policies set forth in SPC's adopted Long-Range Transportation Plan (Smart Moves for a Changing Region, June 2019 and July 2023). Please see **Appendix A1** for SPC's Program Guidance and Procedures: Congestion Mitigation and Air Quality Improvement and the Carbon Reduction Program.

2. Carbon Reduction Program (CRP)

The purpose of the CRP is to reduce transportation emissions through the development of State carbon reduction strategies and by funding projects designed to reduce transportation emissions (See 23 U.S.C. 175 as established by the Infrastructure Investment and Jobs Act (IIJA) (Public Law 117-58, also known as the "<u>Bipartisan Infrastructure Law</u>" (BIL)) (BIL § 11403). Statewide guidance is available in the <u>November 2023</u> <u>Pennsylvania Carbon Reduction Strategy (CRS)</u>. Please see **Appendix A1** for SPC's Program Guidance and Procedures: Congestion Mitigation and Air Quality Improvement and the Carbon Reduction Program and the Federal Carbon Reduction Program (CRP) Implementation Guidance.

3. Transportation Alternatives Set-Aside (TASA)

TASA provides funding for a variety of transportation projects such as pedestrian and bicycle facilities; construction of turnouts, overlooks, and viewing areas; community improvements such as historic preservation and vegetation management; environmental mitigation related to stormwater and habitat connectivity;

recreational trails; safe routes to school projects; and vulnerable road user safety assessments. With its eligibilities including bicycle and pedestrian facilities, safe routes to school projects, and vulnerable road user safety assessments, TASA is a key program for helping States build Complete Streets that are safe for all users and achieve safe, connected, and equitable on-and off-road networks. SPC has their own <u>Complete Streets</u> <u>Policy</u> and TASA projects should be consistent with that policy. Note that TASA includes both a <u>state grant</u> <u>program</u> (administered by the Pennsylvania Department of Transportation (PennDOT)) and this, separate, SPC administered TASA program.

<u>Federal Implementation Guidance</u> as revised by the Infrastructure Investment and Jobs Act is dated March 30, 2022. Also Please see **Appendix A2** for SPC's Program Guidance and Procedures: Transportation Alternatives Set-Aside Program.

B. Overview of Sponsor Eligibility

State and local government and transportation agencies located within SPC's MPO boundary — which encompasses the counties of Allegheny, Armstrong, Beaver, Butler, Fayette, Greene, Indiana, Lawrence, Washington, and Westmoreland — may submit transportation projects for consideration. Interested nonprofit entities, school districts and other nongovernmental agencies should contact SPC regarding their eligibility under these programs.

1. Sponsor Eligibility

	CMAQ	CRP	TASA
Local Government	\checkmark	\checkmark	\checkmark
Regional Transportation Authorities		\checkmark	\checkmark
Transportation Management Associations	\checkmark	\checkmark	
Public Transit Agencies	\checkmark	\checkmark	\checkmark
Port Authorities	\checkmark	\checkmark	
State Agencies	\checkmark	\checkmark	
Natural Resource/Public Land Agencies		\checkmark	\checkmark
School Districts, Education Agencies, Schools		\checkmark	\checkmark
Tribal Governments		\checkmark	\checkmark
County Recreational Trails Authorities		✓	✓
County Recreational Authorities		\checkmark	✓
Urban Redevelopment Authorities			✓
Non-profits	0	0	0
Private Sector	0		
·			

✓ – Eligible

 \circ – Eligible when partnered with eligible entity (see detailed program guidance in the appendix)

C. Overview of Program Objectives and General Project Eligibility

1. CMAQ

To be eligible for CMAQ funds a project must be a transportation project consistent with the region's adopted Long-Range Transportation Plan (Smart Moves for a Changing Region), be located in an <u>air quality</u> <u>nonattainment or maintenance area</u> and have demonstrated air quality benefits.

The federal CMAQ program provides funds for transportation projects that ease congestion and contribute to the attainment and maintenance of air quality standards for ozone, carbon monoxide and particulate matter. The program emphasizes cost effective emission reduction and congestion mitigation activities. As mentioned, it is SPC's policy to program CMAQ projects on the TIP that provide the best air quality benefit for the investment while supporting two important goals of the United States Department of Transportation: improve air quality and relieve congestion.

2. CRP

CRP funding may be used on a wide range of projects that support the reduction of transportation carbon emissions. Projects must be consistent with the <u>Long-Range Statewide Transportation Plan</u> and region's adopted Long-Range Transportation Plan (<u>Smart Moves for a Changing Region</u>). Following SPC project selection, projects will be identified in the Statewide Transportation Improvement Program (STIP)/Transportation

Improvement Program (TIP). SPC adheres to the program guidance and project eligibility that is provided by FHWA and <u>PennDOT</u>.

3. TASA

TASA provides funds to construct pedestrian and bicycle facilities, improve access to public transportation, create safe routes to school, preserve historic transportation structures, provide environmental mitigation, and create trail projects that serve a transportation purpose, while promoting safety and mobility. As noted, PennDOT administers and accepts applications for the statewide TASA funds, while SPC's TASA program covers funds specifically available for projects in the SPC region.

D. Project Eligibility*

	CMAQ	CRP	TASA
Alternative Fuel Vehicles & Technology	\checkmark	✓	
Archaeological Activities			✓
Bicycle/Pedestrian Education	✓		✓
Bicycle/Pedestrian Improvements	✓	✓	✓
Construction of Turnouts, Overlooks, Viewing Areas			✓
Corridor Management/Congestion Reduction	\checkmark	✓	
Diesel Emissions Reduction	\checkmark	✓	
Electric Vehicle Charging Stations	✓	✓	
Historic Preservation, Transportation Facility Rehab			\checkmark
Intermodal/ Transit Oriented Development			
ITS Project		\checkmark	
Land Use & Transportation Linkage			
Outdoor Advertising Management			\checkmark
Planning & Redevelopment			
Railway Corridor Trail Conversions			\checkmark
Road/Intersection/Network Improvements	\checkmark	✓	
Safe Routes to School			✓
Stormwater Management			✓
Streetscapes/Traffic Calming			\checkmark
Traffic Flow Improvements	\checkmark	✓	
Traffic Monitoring and Management		\checkmark	
Transit Improvements/Transit Programs	\checkmark	✓	
Transportation Demand Management	\checkmark	✓	
Vegetation Management			✓
Wildlife Mortality Mitigation			√
Other	✓	✓	✓

*Not a comprehensive list of all eligible projects. Some projects may need a case-by-case review.

E. Overview of Funding Match and Minimum/Maximum Requirements

1. Local Match Funding Requirements

CMAQ	CRP	TASA
20% Minimum per phase	20% typically per phase	20% typically 100% federal for construction. Pre-construction 100% local*

*See Transportation Alternatives guidance for complete funding match requirements

2. Minimum and Maximum Award Amounts

CMAQ	CRP	TASA
No limits.	No limits.	Construction (Min. \$50,000 for non- infrastructure* and \$200,000 for infrastructure) and (Max. \$1,500,000)

*Min. award may be reviewed on a case-by-case basis

F. Overview of Application Process

A complete candidate project application will consist of several components. These include:

- SPC 2025 Federal Funding Pre-Application Form (required for all projects),
- Candidate Project Detailed Application Form(s) (required for all projects),
- Project Delivery Checklist Form (required for all projects),
- Additional Information forms (as many as needed to fully describe the project), and
- Supporting information (maps, drawings, engineering design, photographs, reports, etc.).

Project sponsors should download the required pre-application form from the SPC website, enter appropriate information about the candidate project and follow the described submittal process. If supporting information is part of the project application package, the project sponsor should identify each piece of supporting information on an Additional Information form. The application form is interactive and completed forms must be saved as interactive files. Handwritten paper copies, or electronic copies without the interactive features cannot be accepted. All fields in the SPC 2025 Pre-Application form are required to be completed.

Completed forms and all supporting documents must be submitted electronically. Electronic submissions of all application material are required via SPC's SharePoint site. The applicant for the proposed project will be responsible for uploading the preapplication and final application zip files to a secure folder on SPC's SharePoint site. The SPC SharePoint site requires a username and password. The project sponsor contact must contact <u>gshermeto@spcregion.org</u> to indicate intent to submit a regional pre-application to obtain a temporary username and password.

The applicant will be provided with a secure folder in which the application zip files are to be uploaded prior to the submission deadlines. Any technical difficulties using SPC's SharePoint site should be directed to Greg Shermeto at the email address listed above.

Please see the SPC Competitive Program Process Flow Chart, *Figure 1*.

Important Milestone dates for the 2027-2030 TIP		
May TTC Meeting	Process Update	
End of May	Program Guidance finalized	
June 2, 2025	Application period opens	
June 10, 2025	Application and Project Delivery Webinar	
July 17, 2025	Pre-application due	
By July 31, 2025	SPC provides detailed application information to applicant	
September TTC Meeting	Status Update	
September 15, 2025	Detailed Application period closes	
October – November	Application review and technical scoring by SPC staff	
October - November	Deliverability evaluation by deliverability evaluation committee.	
October – November (TBD) Selection Committee Mtgs 1 & 2	Candidate project applications review, technical scoring review, deliverability review,	
Late November (TBD) Selection Committee Mtg 3	Project technical and deliverability scoring discussed and finalized. Project selection recommendations made.	
December TTC Meeting	Projects recommended by TTC as part of pre-draft 2027 TIP	
December SPC Executive Committee Meeting	Projects approved as part of pre-draft 2027 TIP	

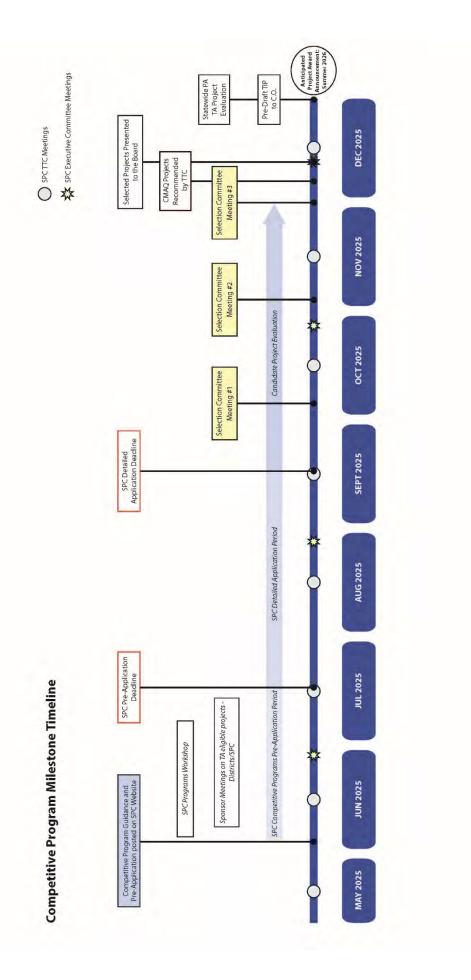
Table 1 – CMAQ/CRP/TASA Federal Program Milestones

G. Overview of the Project Evaluation Process & Selection Committee Make-up

1. SPC Competitive Programs Selection Committee

The SPC Competitive Programs Selection Committee (see **Table 2**) will be convened in October 2025. It will assist in approving the candidate projects for Federal funding on the 2027-2030 TIP, reporting their findings, and making recommendations to SPC's Transportation Technical Committee (TTC), which will ultimately recommend the funded projects for inclusion on the TIP. Membership of the committee is designed to be a balanced and diverse representation of the SPC committees and air quality planning partners. The SPC Competitive Programs Selection Committee will review the candidate projects based on the air quality technical analysis (if required), the ancillary selection factor ratings that will be completed by SPC staff, and the evaluation of deliverability/project readiness that will be completed by a separate nonpartisan deliverability committee¹. Recommendations will be developed by early December 2025. These recommendations will be presented to SPC's TTC and others, as appropriate, in December prior to presentation to SPC's Executive Committee at its December meeting. *Figure 3* presents a graphical overview of the application submission and review timeline.

¹ More information on the deliverability committee and their role is discussed in Section III.





Interest Group	Number from Group	Representing	Other Criteria	
Planners	11	10 SPC counties and the City of Pittsburgh	1 per SPC Member County/City of Pittsburgh	
PennDOT Districts	3	PennDOT Districts 10, 11 & 12	1 per local PennDOT District	
Transit	3	Transit Operators	1 each - urban, small urban, rural	
PennDOT Central Office	2	PennDOT Central Office	Select from: Center for Program Development and Management, Bureau of Design and Delivery, Bureau of Public Transportation	
ТМА	1	Transportation Management Associations	1 of ACTA, OTMA <u>or</u> PDP	
Air Quality Agency	1	Air Quality Regulatory Agency	PADEP <u>or</u> Allegheny County Health Department	
Active Active Transportation Drganizations Bike PGH or another organizations		Bike PGH or another organization TBD		
Freight 1 Freight Organization Port of Pittsburgh Commission				
Resource Agencies				
Federal Highway Administration – Pennsylvania Division Federal Transit Administration – Region III				
PennDOT – Bureau of Rail, Freight, Ports, and Waterways				
SPC – CMAQ Program Staff				

Table 2- 2027-2030 TIP – Federal Competitive Programs Selection Committee

May 2025

III. Importance of Deliverability/Project Readiness

Project readiness and ease of deliverability of potential CMAQ, CRP, and TASA projects are essential to maximize federal funding opportunities for the region, as all federal funds and programs have a deliverability timeframe that must be met or funding could be lost.

Readiness and deliverability evaluation criteria were established that will be used to review and evaluate project applications based on a range of factors. Applicants should review and become familiar with PennDOT's *Publication 740: Local Project Delivery Manual*. Applicants can also review PennDOT's Local Projects Website which includes Publication 740 videos that give an overview of the entire project process. Each applicant will submit a project delivery checklist. Often during project development, issues arise that delay project delivery and these potential issues will be evaluated in the deliverability scoring. For example, projects with complex right-of-way, utilities, and / or railroad involvement are likely to score lower in regard to deliverability. Projects within existing right-of-way, with no utility or railroad involvement are likely to score higher. Each proposed project will be scored on applicant responses within the project delivery checklist section of the application and a review of the project. A nonpartisan Deliverability Committee will evaluate each candidate project in terms of its ability to be delivered on-time and within budget. This committee will be appointed by SPC staff and will

serve as a separate committee that will inform the SPC Federal Competitive Programs Selection Committee. Utilizing the collective knowledge, experience, and removing any conflicts of interest, the committee will meet and discuss the scores and finalize their evaluation.

The Deliverability Committee will review each application and rate the project based on ten deliverability criteria. The ten deliverability criteria are outlined below. Applications that are non-infrastructure will not be ranked on, nor will consideration of the application be impacted by, right-of-way, railroad, or utilities. These criteria are further defined below:

1) Sponsor History

The number of projects the local sponsor already has in development and ongoing within SPC competitive programs will be considered when accessing the capacity to deliver the proposed project.

Sponsors that have managed a federal project successfully in the past, attended a training regarding local project management or PennDOT's Publication 740 training = 3.

Sponsors that have never managed a federal project but have attended a training regarding local project management or PennDOT's Publication 740 training = 2.

Those sponsors that are new to federal project management and have not attended a training regarding local project management or PennDOT's Publication 740 training = 1.

2) Political Support

Applicants should include examples of political support (letters of support, meeting minutes, etc.).

Application includes documentation of political support (resolution of support, political support letters, endorsed by local advocacy groups) = 3.

The application mentions political support, but no documentation provided = 2.

No discussion or documentation of community / political support = 1.

3) Community Support

Applicants should include examples of community (letters of support, meeting minutes, etc.).

Application includes documentation of community support (documented community request, evidence of positive public outreach) = 3.

The application mentions community support, but no documentation provided = 2.

The application does not mention community support.

4) Environmental Clearance / Permit Complexity

In addition to information included in the application the environmental deliverability criteria will use the SPC environmental project scoring, which is similar to the PennDOT Connects environmental scoring and based on known environmental resources and constraints to help to evaluate the project area for environmental concerns. Each project will be mapped and run through the scoring model and assigned a score.

Project area has no known environmental concerns (public parks, historic, T&E, wetlands, potential hazardous waste) and low disturbance (Low Environmental Score) = 3.

Project area has high probability of encountering resources and moderate disturbance = 2.

Project area has high probability of encountering resources and a large disturbance footprint (High Environmental Score) = 1.

5) Other Agency/Entity Involvement

Applicants should show evidence that they have coordinated with other agencies that will need to be involved with the project. For example, if the proposed project is located on a State Route the project sponsor should show evidence that they have introduced and discussed this project with a representative of PennDOT. Another example is a project that spans multiple municipalities, or a project sponsored by a County who needs to coordinate with the municipality where the project is located.

No coordination needed with other agencies/entities = 3.

Medium level of other agency/entity involvement = 2.

High Level of other agency/entity involvement (PennDOT=SR, DCNR = Parks) = 1.

6) Project Readiness

Applicants should provide a well-defined scope, schedule, and cost estimate with details on how the estimate was developed. Applicants that include a design will score higher than applications with no design; the score may be impacted by the reviewing committees' interpretation of "design" vs. "planning concept". Projects that involve a purchase, program, or promotion will score a three unless the reviewing committee feels there would be delays with fund transfer or does not have a detailed plan for what is being purchased or promoted.

Application includes design plans completed by a consultant knowledgeable with PennDOT's requirements and/or the project is immediately ready to move into construction = 3.

Application includes design concept = 2.

Projects that are in the planning stages = 1.

7) Understanding Project Development Process

Project sponsors should consult *PennDOT Publication 740: Local Project Delivery Manual* in order to proactively identify any potential deliverability issues the candidate project(s) may experience and factor them into their schedules and cost estimates.

Sponsors who have hired a consultant that is very knowledgeable with PennDOT's project delivery process = 3.

Sponsors who have hired a consultant that is somewhat knowledgeable with PennDOT's project delivery process = 2.

Sponsors who have hired a consultant that is unfamiliar with PennDOT's project delivery process = 1.

8) Anticipated Utility Involvement

Application should show an understanding of what utilities are in the project area and whether any may be impacted.

There is no known utility involvement or less than 24 inches of excavation = 3.

High probability of encountering utilities but low probability of relocating = 2.

High probability of encountering utilities and high probability of relocating = 1.

9) Railroad located within or adjacent to the project area

Application should note whether a railroad or at-grade crossing is within the project area and may be impacted / altered. Application should note whether an adjacent railroad could be involved (need for protection services, access permits, etc.).

No railroad in project area = 3.

Railroad nearby but low probability of involvement = 2.

Railroad involved = 1.

10) Right-of-Way Acquisition

Applicant should note if the project can be constructed entirely within existing public right-ofway, and be ready to prove with documentation, should the application be awarded a grant. If right-of-way is required for the project, the application will generally score lower than projects with no required right-of-way. Even if the right-of-way is owned by the sponsor, a review from PennDOT Right-of-Way unit may be required.

Project is entirely on local sponsor owned transportation right-of-way or Department owned right-of-way = 3.

Project involves temporary construction easements or minor strip takes, or acquisition of rightof-way has already begun = 2.

Project involves right-of-way acquisition, but no ROW plan in development = 1.

The criteria are then weighted according to the anticipated effect on timely delivery. For example, right-of-way acquisition is weighted higher than sponsor history. These scores are combined into one deliverability score for each project.

IV. Appendices

A. Detailed Individual Program Guidance:

- 1. CMAQ & CRP Program Guidance
- 2. TASA Guidance

1. CMAQ & CRP Program Guidance



PROGRAM GUIDANCE AND PROCEDURES: CONGESTION MITIGATION AND AIR QUALITY IMPROVEMENT PROGRAM and the CARBON REDUCTION PROGRAM

June 2025

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Southwestern Pennsylvania Commission's Congestion Mitigation and Air Quality Improvement Program 2027-2030 Program Guidance

Background

This document has been prepared to guide sponsors of candidate projects through the Southwestern Pennsylvania Commission's (SPC) application process for the Congestion Mitigation and Air Quality Improvement (CMAQ) Program and the Carbon Reduction Program (CRP). The Program Guidance and Procedures document includes the schedule and guidelines for submitting applications for candidate projects, the project selection process, and the project selection criteria.

The following sections of this document provide background information about SPC's CMAQ and CRP project solicitation, evaluation, prioritization, and selection process; instructions for completing and submitting applications for candidate projects for CMAQ and CRP funding; and a summary of other regulatory requirements involved in the project delivery process.

Four appendices are included at the end of this document. <u>APPENDIX A</u> contains the processes and regulatory requirements of delivering a successful and on time transportation project with Federal CMAQ or CRP funds; <u>APPENDIX B</u> contains additional Tables; <u>APPENDIX C</u> contains maps of the air quality nonattainment and maintenance areas in Southwestern Pennsylvania and the NHS network in the Pittsburgh urbanized area; and, <u>APPENDIX D</u> contains a list of links to additional information and guidance of use to applicants, and SPC staff contacts.

Program Objectives

CMAQ and CRP funding have not been authorized by Congress for the 2027-2030 program period. It has been Pennsylvania and SPC's procedure in this situation in the past to continue to plan on flat funding levels going into the next program period, but there is no guarantee that the CMAQ and CRP programs will continue.

CMAQ

The federal Congestion Mitigation and Air Quality Improvement Program (CMAQ) provides funds for transportation projects and programs that will contribute to attainment or maintenance of the national ambient air quality standards (NAAQS) for ozone, carbon monoxide (CO), and particulate matter (PM).

The CMAQ program supports two important goals of the federal Department of Transportation: improving air quality and relieving congestion. These goals were strengthened in provisions added to the CMAQ Program by the *Moving Ahead for Progress in the 21st Century Act* (MAP-21; Pub. L. 112-141) and continued in the Fixing America's Surface Transportation Act (FAST Act; Pub. L. 114-94) and the Bipartisan Infrastructure Law (BIL; Pub. L. 117-58). These provisions emphasize cost-effective emission reduction and congestion mitigation activities when using CMAQ funding.

It is the Southwestern Pennsylvania Commission's policy to program projects on the TIP for CMAQ funding that provide the best air quality benefit for the investment, consistent with <u>Federal Highway</u> <u>Administration (FHWA) CMAQ Program Guidance (Congestion Mitigation and Air Quality Improvement</u> (CMAQ) Program Interim Guidance as Revised by the Infrastructure Investment and Jobs Act, Effective

November 6, 2024)). Projects selected for CMAQ funding are also expected to be consistent with the policies set forth in SPC's adopted Long-Range Transportation Plan (*Smart Moves for a Changing Region, June 2019*). The FAST Act of 2015 added eligibility for verified technologies for non- road vehicles and non-road engines that are used in port-related freight operations located in ozone, PM₁₀ or PM_{2.5} nonattainment or maintenance areas. The Act also specifically makes eligible the installation of vehicle-to-infrastructure communications equipment. The BIL added four new eligibilities including: shared micromobility, the purchase of diesel replacement or marine highway if connected to the Federal-aid highway system, and in alternative fuel projects, vehicle refueling infrastructure that would reduce emissions from nonroad vehicles and engines. It also added a limitation that caps State obligations for lock and dam and marine highway projects at 10%.

Once projects are programmed on the Transportation Improvement Program (TIP) for CMAQ funding, close coordination is necessary between SPC, the Pennsylvania Department of Transportation (PennDOT), and project sponsors to ensure that CMAQ funds are used appropriately and to maximize their effectiveness in satisfying SPC's CMAQ policy and meeting federal transportation and Clean Air Act (CAA) goals. It is also essential that the CMAQ funds are able to be obligated in the year in which they are programmed to the TIP.

The TIP is a four-year program of projects that is updated every two years. During each two-year cycle, projects programmed in the first two TIP years are expected to be funded. The second two years of one TIP will become the first two years of the following TIP. Because of this there is very limited, if any, funding for new projects on a new TIP's first two years. Most of the awarded CMAQ projects will be programmed for the TIP's third and fourth years, which are the federal fiscal years of 2029 and 2030 (Oct. 1, 2028 – Sept. 30, 2030).

FHWA has directed that the CMAQ project selection process should be conducted in accordance with the metropolitan transportation planning process (23 CFR 450). In addition, the CMAQ project selection process should be transparent, in writing, and publicly available. The process should identify the agencies involved in rating proposed projects, clarify how projects are rated, and name the committee or group responsible for making the final recommendation to the Metropolitan Planning Organization (MPO) board, which is the Southwestern Pennsylvania Commission for this region. The selection process should also clearly identify the basis for rating projects, including emissions benefits, cost effectiveness, and any other ancillary selection factors such as congestion relief, greenhouse gas reductions, safety, system preservation, access to opportunity, sustainable development and freight, reduced SOV reliance, multi-modal benefits, and others. The ensuing sections of this Program Guidance provide information on the project selection process.

CRP

The purpose of the CRP is to reduce transportation emissions through the development of State carbon reduction strategies and by funding projects designed to reduce transportation emissions (See 23 U.S.C. 175 as established by the Infrastructure Investment and Jobs Act (IIJA) (Public Law 117-58, also known as the "Bipartisan Infrastructure Law" (BIL)) (BIL § 11403). PennDOT's statewide CRP Guidance is titled Pennsylvania Carbon Reduction Strategy (CRS), November 2023.

Federal Performance Measures

The Moving Ahead for Progress in the 21st Century Act (MAP-21), Fixing America's Surface Transportation (FAST) Act and the Bipartisan Infrastructure Law (BIL) established Performance-Based Planning and Programming (PBPP) requirements as part of Transportation Performance Management rules for both highway programs and public transportation.

National transportation goals cover a range of key management issues: highway safety, infrastructure condition, congestion reduction, system reliability, freight movement and economic vitality, environmental sustainability and reduced delivery delays (23 U.S. Code Section 150). The legislative framework also establishes performance measure requirements for the National Highway Performance Program (NHPP), the Highway Safety Improvement Program (HSIP), and Congestion Mitigation and Air Quality Improvement Program (CMAQ).

The Performance Measures specifically related to the CMAQ Program, PM1 and PM3, are listed in Table 1 and described in the subsequent paragraph accordingly. These measures, SPC region and statewide CMAQ Performance Targets and additional relevant information, are detailed further in SPC's CMAQ <u>Mid Performance Period Report (2024)</u>. Applicants should also reference the <u>SPC TPM webpage</u> to help them prepare their candidate project applications.

	National Performance Management Measures Congestion Mitigation and Air Quality Program				
Performance Measure	Measure/Target Applicability	Metric Data & Collection Frequency	Metric		
Annual Hours of Peak-Hour Excessive Delay Per Capita ¹	Mainline NHS in urbanized areas with a population over 1M/200K in nonattainment or maintenance for any of the criteria pollutants under the CMAQ program	All traffic/vehicles data in NPMRDS or equivalent data set – every 15 minutes (bus, car and truck volumes in HPMS; occupancy factors published by FHWA	Total Peak-Hour Excessive Delay in person hours		
Percent of Non-SOV Travel	Urbanized areas with a population over 1M/200K in nonattainment or maintenance for any of the criteria pollutants under the CMAQ program	ACS, local survey, or local counts (includes bike/pedestrian counts)	Percent Non-SOV Travel		

¹ As per Federal Regulations (CFR 23 PART 490), "Excessive Delay means the extra amount of time spent in congested conditions defined by speed thresholds that are lower than a normal delay threshold. For the purposes of this rule, the speed threshold is 20 miles per hour (mph) or 60 percent of the posted speed limit, whichever is greater. Peak Period is defined as weekdays from 6 a.m. to 10 a.m. and either 3 p.m. to 7 p.m. or 4 p.m. to 8 p.m. State DOTs and MPOs may choose whether to use 3 p.m. to 7 p.m. or 4 p.m. to 8 p.m.

Total Emission Reductions

All nonattainment and maintenance areas for CMAQ criteria pollutants

CMAQ Public Access System

Co, NOx, VOC & PM Emission Reduction from Funded CMAQ Projects

Table 1, May 2025

NHS – National Highway System

NPMRDS – National Performance Management Research Data Set HPMS – Highway Performance Monitoring System ACS – American Community Survey, U.S. Census Bureau

CMAQ Public Access System – Database of CMAQ Project Information

Additionally, the federal Safety performance measures, PM 1, will factor into the safety component of the evaluation criteria. The FHWA final rule for the *National Performance Management Measures: Highway Safety Improvement Program* (Safety PM) and *Highway Safety Improvement Program* (HSIP) were published in the Federal Register (81 FR 13881 and 81 FR 13722) on March 15, 2016 and became effective on April 14, 2016.

The Safety PM Final Rule established five performance measures as the five-year rolling averages to include:

- Number of Fatalities
- Rate of Fatalities per 100 million Vehicle Miles Traveled (VMT)
- Number of Serious Injuries
- Rate of Serious Injuries per 100 million VMT
- Number of Non-Motorized Fatalities and Non-Motorized Serious Injuries

PennDOT and SPC are responsible for the coordination, development and tracking of the CMAQ program performance targets for Southwestern Pennsylvania. SPC reports progress on achieving its performance targets in the Transportation Improvement Program, the Metropolitan Long-Range Plan and through CMAQ performance reports, which are included in PennDOT Biennial Performance Reports.

Schedule & Federal Competitive Programs Selection Committee

The application period for candidate projects for CMAQ and CRP funding in the 2027-2030 TIP is being publicly announced by SPC through its technical committees (Transit Operators Committee [TOC] and Transportation Technical Committee [TTC]), SPC's website and social media, and other forums as appropriate.

The project application period will begin on Thursday, June 1, 2025 and close on Friday, September 15, 2025, To prepare potential sponsors for submitting and possibly delivering a federally funded transportation project, SPC will host a virtual Project Delivery Workshop in June 2025. (Please refer to <u>SPC's Website</u> for additional information). Following the close of the application period, SPC staff and the CMAQ Evaluation Committee will complete the screening and evaluation of candidate projects by early December 2025. Table 2 below summarizes the CMAQ Program development schedule for the 2027-2030 TIP.

Important	Milestone dates for the 2027-2030 TIP
May TTC Meeting	Process Update
End of May	Program Guidance finalized
June 2, 2025	Application period opens
June 10, 2025	Application and Project Delivery Webinar
July 17, 2025	Pre-application due
By July 31, 2025	SPC provides detailed application information to applicant
September TTC Meeting	Status Update
September 15, 2025	Detailed Application period closes
October – November	Application review and technical scoring by SPC staff
October - November	Deliverability evaluation by deliverability evaluation committee.
October – November (TBD) Selection Committee Mtgs 1 & 2	Candidate project applications review, technical scoring review, deliverability review,
Late November (TBD) Selection	Project technical and deliverability scoring discussed and
Committee Mtg 3	finalized. Project selection recommendations made.
December TTC Meeting	CMAQ projects recommended by TTC as part of draft 2027 TIP

Table 2 – May 2025

SPC Competitive Programs Selection Committee

The SPC Competitive Programs Selection Committee will be convened in October 2025. It will assist in prioritizing the candidate projects for Federal funding on the 2027-2030 TIP, reporting their findings, and making recommendations to SPC's Transportation Technical Committee (TTC), which will ultimately recommend the final SPC competitive program projects for inclusion on the TIP. Membership of the committee is designed to be a balanced and diverse representation of the SPC committees and air quality planning partners. The SPC Federal Competitive Programs Selection Committee will approve the candidate projects based on the air quality technical analysis (if applicable), the ancillary selection factor ratings that will be completed by SPC staff, and the evaluation of deliverability/project readiness that will be completed by a separate nonpartisan deliverability committee². Recommendations will be developed by early December 2025. These recommendations will be presented to SPC's TTC and others, as appropriate, in December prior to presentation to SPC's Executive Committee at its December meeting. Table B-4, in the Appendix summarizes the Committee membership.

² More information on the deliverability committee and their role is discussed in the Deliverability/Project Readiness Section.

Funding and Local Match Requirements

The CMAQ and CRP Programs provide up to 80% of total eligible project costs associated with costs for infrastructure type projects, and activities and operating costs for non-infrastructure. The minimum local share is 20%, hard/cash match per project phase, and must be provided from local, state, or other non-federal sources. Costs associated with a non-CMAQ or CRP funded phase of the project are not considered as part of the local share. CMAQ and CRP funds can be combined with other federal funds on the same project, but the full amount of local match for all federal funds is still required.

Sponsor and Project Eligibility

Sponsor Eligibility

Any qualified government entity, including local governments, public transit agencies, port authorities, and state agencies is eligible to apply for CMAQ and CRP funding. Non-profits and private sector entities may partner with an eligible entity to apply for CMAQ and CRP funding; however, there must be a formal agreement in place with their partnering public agency to receive funding. All applicants are required to contact county and municipal government(s) where the project will be implemented to inform them of their intent to apply and to coordinate the project effort. The same case applies to applicants seeking to implement public transportation improvements, these applicants however are also required to contact the public transit agency that provides service in the proposed project area.

Project Eligibility

The federal CMAQ program provides funds for transportation projects that ease congestion and contribute to the attainment and maintenance of air quality standards for ozone, carbon monoxide and particulate matter. The program emphasizes cost effective emission reduction and congestion mitigation activities. It is SPC's policy to program CMAQ projects on the TIP that provide the best air quality benefit for the investment and support two important goals of the federal Department of Transportation: improve air quality and relieve congestion.

In Southwestern Pennsylvania, congested corridors are identified as part of the regional <u>Congestion</u> <u>Management Process (CMP)</u>. The CMP also identifies and prioritizes congestion mitigation strategies for each of these corridors. SPC's regional priority for CMAQ funding is to identify projects that provide the best congestion and air quality benefits for the investment. These are often derived from the CMP and are contained in documents such as the region's adopted Long-Range Transportation Plan, Regional Operations Plan, Regional Freight Plan, Regional Transportation Demand Management Plan, Regional Active Transportation Plan, and the Transit Development Plans for the transit operators in the region. Examples include Intelligent Transportation System (ITS) deployments (both highway and transit), park-n-ride lots, travel demand management activities, traffic signal improvements, geometric/operational upgrades, and bottleneck mitigation projects. As described on page 11, under the *Congestion Management (CMP) Corridor Rating Section*, projects on CMP corridors receive additional points toward their application.

CRP funding may be used on a wide range of projects that support the reduction of transportation emissions.

All federal eligibility requirements for transportation projects must be met and be consistent with <u>FHWA's CMAQ Program Guidance or FHWA's Carbon Reduction Program Guidance</u>. Specific activities

and projects are explicitly identified as either eligible or ineligible for CMAQ funding within FHWA's CMAQ Program Guidance. Projects and activities will be placed into one of the six Project Category activities below.

Project Grouping

Listed in <u>TABLE B-2</u> and B-3 are the six Project Category activities and examples of projects and activities that are eligible for CMAQ or CRP funding. All projects will be placed into a project category, which will be used for grouping and comparison purposes. These categories are:

- 1. Vehicle/Fuel Technology
- 2. Public Transportation
- 3. Traffic Flow Improvements
- 4. Intelligent Transportation Systems
- 5. Travel Demand Management
- 6. Lock and Dam Marine Highways
- 7. Vehicle Programs
- 8. Other (including training and educational development of the transportation workforce)

Ineligible Activities

The following projects are ineligible for CMAQ funding:

- Light-duty vehicle scrappage programs.
- Projects that add new capacity for SOVs are ineligible for CMAQ funding unless construction is limited to high-occupancy vehicle (HOV) lanes. This HOV lane eligibility includes the full range of HOV facility uses authorized under 23 U.S.C 166, such as high-occupancy toll (HOT) and lowemission vehicles.
- Routine maintenance and rehabilitation projects (e.g., replacement-in-kind of track or other equipment, reconstruction of bridges, stations, and other facilities, and repaving or repairing roads) are ineligible for CMAQ funding as they only maintain existing levels of highway and transit service, and therefore do not reduce emissions. Other funding sources, such as Surface Transportation Block Grant Program and FTA's Urbanized Area Formula Program (49 U.S.C. 5307), are available for such activities.
- Administrative costs of the CMAQ program may not be defrayed with program funds (e.g., support for a State's "CMAQ Project Management Office" is not eligible).
- Projects that do not meet the specific eligibility requirements of Titles 23 and 49, United States Code, are ineligible for CMAQ funds.
- Stand-alone projects to purchase fuel.
- Models and Monitors—Acquisition, operation, or development of models or monitoring networks are not eligible for CMAQ funds. As modeling or monitoring emissions, traffic operations, travel demand or other related variables do not directly lead to an emissions reduction, these activities or acquisitions are not eligible. Such efforts may be appropriate for Federal planning funds.
- Litigation costs surrounding CMAQ or other Federal-aid projects.
- Standalone studies that would not ultimately result in a CMAQ eligible construction project (including planning, traffic, etc.) are not eligible for CMAQ funds.

The following projects are ineligible for CRP funding:

• Projects that add new capacity for SOVs are ineligible for CRP funding.

How to Submit Completed Candidate Project Applications

All completed applications for CMAQ or CRP funding must be submitted electronically via SPC's SharePoint site. The SPC SharePoint site requires a username and password. The Project Sponsor must contact Greg Shermeto at <u>gshermeto@spcregion.org</u> before July 17, 2025 to indicate intent to submit one or more CMAQ or CRP application(s) and to obtain a temporary username and password. The Project Sponsor will be provided with a secure folder in which the CMAQ or CRP application files are to be uploaded prior to the submission deadline. Any technical difficulties using SPC's SharePoint site should be directed to Greg Shermeto at the email address listed above.

Application Process

The CMAQ and CRP programs are structured to fund cost effective transportation projects and programs in non- attainment and maintenance areas which reduce transportation-related emissions or reduce carbon. A complete CMAQ or CRP candidate project application will consist of several components to ensure selected projects are efficiently and effectively implemented. All CMAQ and CRP projects will be reviewed for <u>SPC Complete Streets Policy</u> applicability.

Project Pre-application

Potential CMAQ or CRP project sponsors must complete SPC's Federal Funding 2025 Pre-Application. This pre-application allows SPC to ensure the potential CMAQ or CRP project is applicable for this type of Federal funding. Following SPC review of the federal funding pre-applications, applicants will be provided specific project application forms for their project.

Project Application Forms

A complete CMAQ or CRP application will consist of several components, including:

- Application Checklist form (needed for all projects)
- Candidate Project Description form (needed for all projects)
- Project Budget and Schedule form (needed for all projects)
- Project Type form appropriate for the project category (TABLE B-2)
- Additional Information forms (as many as needed to fully describe the project)
- Supporting information (maps, drawings, photographs, reports, etc.)
- Project Delivery Checklist form (needed for all projects)
- Safety and Complete Streets Checklist (needed for projects that aren't considered exempt as determined by SPC)

Application forms are posted on the <u>SPC website</u> along with this document. Project sponsors should download needed forms from the SPC website or they will be provided by the SPC, enter appropriate information about the candidate project on each form, and save the files to a project folder. If supporting information is part of the project application package, the project sponsor should identify

each piece of supporting information on an Additional Information form. All the application forms are interactive files. Data entry must be done on the computer. Completed forms must be saved as interactive files. SPC will extract the submitted CMAQ or CRP project information via SharePoint. Handwritten paper copies, or electronic copies without the interactive features cannot be accepted.

Pre-Qualification Screening

To be eligible for CMAQ funds a project must be a transportation project consistent with the region's adopted Long-Range Transportation Plan (*Smart Moves for a Changing Region*), be located in an air quality nonattainment or maintenance area and have demonstrated air quality benefits. Please refer to the <u>FHWA Program Guidance</u> for more information about CMAQ Program eligibility.

CRP funding may be used on a wide range of projects that support the reduction of transportation emissions. Once selected and approved for funding, projects must be identified in the Statewide Transportation Improvement Program (STIP)/Transportation Improvement Program (TIP) and be consistent with the Long-Range Statewide Transportation Plan and region's adopted Long-Range Transportation Plan (Smart Moves for a Changing Region).

SPC staff will prepare a map identifying the location of each candidate project in relation to the region's air quality nonattainment areas. Project screening will be done by SPC staff for review by the SPC Federal Competitive Programs Selection Committee. Screening criteria address provisions consistent with current transportation law and assess consistency with *Smart Moves for a Changing Region*, local comprehensive plans, as well as the Commonwealth's Smart Transportation goals. Sponsors must explain how their candidate project is consistent with current transportation law eligibility requirements, *Smart Moves for a Changing Region*, and at least one of the other documents or it will not be considered further.

Application Review

SPC staff will review applications submitted by the September 15, 2025 deadline for completeness.

Project Carryover Limitations

All CMAQ or CRP projects (or project phases) will have two TIP cycles to obligate their allocated CMAQ or CRP funding, beginning with the year in which they are originally programmed onto the TIP. If CMAQ or CRP funds allocated to a project (or project phase) are not obligated in this timeframe, the funds for the project (or project phase) will be removed from the TIP and reverted back to the regional line item for redistribution. The project sponsor will then have to reapply to the CMAQ or CRP program if the project remains a priority.

TIP Program Year	TIP Obligation Deadline
2027 & 2028	2030 (September 30, 2030)
2029 & 2030	2032 (September 30, 2032)

The time frames to obligate CMAQ or CRP funds are:

Table 3, May 2025

Project Evaluation Criteria

CMAQ projects will be prioritized based on the five Air Quality Technical Analysis factors, seven Ancillary Selection Factors, and the Deliverability/Project Readiness Factors described in this Section. CRP projects will be prioritized based on two Carbon Reduction Technical Analysis factors, five Ancillary Selection Factors, and the Deliverability/Project Readiness Factors described in this Section. To assist in developing the final recommended list of projects, the SPC Federal Competitive Programs Selection Committee will also utilize a decision support tool (Decision Lens) to dynamically analyze the impacts on project ranking that result from adjusting the emphasis on various scoring factors. The SPC Federal Competitive Programs Selection Committee recommendations for project funding will be based on this information as well as the projections of available CMAQ or CRP funding for each year of the four-year TIP period.

A copy of the CMAQ Project Rating Procedure example is presented in Table B-1A. A copy of the CRP Project Rating Procedure example is presented in Table B-1B.

CMAQ Air Quality Technical Analysis

All projects that pass the pre-qualification screening will be grouped by project category (as defined above) and evaluated for their effect on air quality using a standardized set of analysis tools developed for PennDOT. Evaluation results will enable the projects to be rated based on the following Air Quality and cost/benefit factors:

- Change in emissions in the nonattainment area where the project is located (VOC, NOx, PM, CO).
- Change in vehicle miles traveled (VMT).
- Change in vehicle trips.
- CMAQ cost per unit change in emissions.
- CMAQ cost per unit change in vehicle trips and vehicle miles traveled.

Carbon Reduction Air Quality Technical Analysis

All projects that pass the pre-qualification screening will be grouped by project category (as defined above) and evaluated for their effect on air quality using a standardized set of analysis tools developed for PennDOT. Evaluation results will enable the projects to be rated based on the following Air Quality and cost/benefit factors:

- Change in emissions in the nonattainment area where the project is located (CO₂).
- CO₂ cost per unit change in CO₂ emissions.

CMAQ and Carbon Reduction Ancillary Selection Factors

The Ancillary Selection Factors rely heavily on outcome driven, performance-based metrics. The increased focus on performance-based planning and programming is a result of MAP-21, FAST Act and BIL aim to create a streamlined and performance-based surface transportation program. StateDOTs and MPOs must make investments and policy decisions to advance and promote the federal transportation planning factors:

(1) Support the economic vitality of the metropolitan area, especially by enabling global competitiveness, productivity, and efficiency;

(2) Increase the safety of the transportation system for motorized and non-motorized users;

(3) Increase the security of the transportation system for motorized and non-motorized users;

(4) Increase accessibility and mobility of people and freight;

(5) Protect and enhance the environment, promote energy conservation, improve the quality of life, and promote consistency between transportation improvements and State and local planned growth and economic development patterns;

(6) Enhance the integration and connectivity of the transportation system, across and between modes, for people and freight;

(7) Promote efficient system management and operation;

(8) Emphasize the preservation of the existing transportation system;

(9) Improve the resiliency and reliability of the transportation system and reduce or mitigate stormwater impacts of surface transportation; and

(10) Enhance travel and tourism.

These national goals are reflected in *Smart Moves for a Changing Region* through the plan performance measures, which are ultimately implemented through the TIP and programs such as CMAQ.

Details on how each of the seven Ancillary Selection Factors (CRP five Ancillary Selection Factors) will be scored are presented below:

1. Consistency with Smart Moves for a Changing Region

All applicants must demonstrate consistency with the region's adopted Long-Range Transportation Plan's (LRTP) Regional Vision, Relevant Strategies, and Air Quality related policies (listed below). Project sponsors will be given a checklist with the following Vision and Strategies and will be asked to check each one that the candidate project will help to advance.

Vision:

A world-class, safe and well maintained, integrated transportation system that provides mobility for all, enables resilient communities, and supports a globally competitive economy.

Relevant Strategies:

- Integrate multiple forms of public/ private transportation to provide increased mobility equitably for all users including those in underserved rural areas and disadvantaged populations.
- Develop and deploy appropriate infrastructure to facilitate safe and efficient use of Connected Infrastructure as well as Connected and Autonomous Vehicles (CAVs).
- Offset impacts associated with Connected and Autonomous Vehicles on safety, public sector revenue, congestion, and local quality of life.

- Fund additional transportation infrastructure through private sector partnerships, user fees, value capture, and other appropriate mechanisms; broaden revenue tools available to local governments to fund infrastructure projects.
- Employ holistic planning for mobility and accessibility when developing and prioritizing projects. Make transportation improvements fit community context and enhance local quality of life.
- Support and encourage transportation projects and programs that will contribute to attainment or maintenance of the national ambient air quality standards (NAAQS) for ozone, carbon monoxide (CO), and particulate matter (PM).
- Invest in strategies that adapt to and decelerate the impacts of climate change. This includes investment in disaster preparedness, response, and recovery, as well as, creating awareness about climate change, its projected impacts, and regional strategies.
- Improve infrastructure efficiency through technology implementation in project development, design, construction, operation, and maintenance.

Projects that meet the Vision and seven (or more) Strategies, will receive a *High (3)* rating for this scoring factor, projects that meet the Vision and four to six Strategies, will receive *Medium (2)*, and projects that meet the Vision and one to three Strategies will receive *Low (1)*. Projects that do not advance the Vision or Strategies will receive 0 points.

2. Congestion Management Process (CMP) Corridor Rating

Federal transportation legislation requires that each metropolitan planning organization maintain an ongoing Congestion Management Process (CMP). SPC's CMP identifies corridors in the region with existing traffic congestion and corridors where congestion is expected in the future. The CMP also assesses the suitability of 25 congestion management strategies within identified corridors, and the potential effectiveness each strategy has in reducing congestion. The <u>CMP is extensively documented on SPC's website</u>, and within <u>APPENDIX D</u>.

Projects on CMP corridors that include high priority congestion management strategies suited to the corridor as part of the project scope will be scored *High (3)*. Projects on CMP corridors that include non-high priority strategies suited to the corridor will be scored *Medium (2)*. Projects not located on a CMP corridor that implement a CMP strategy suited to the corridor will be scored *Low (1)*. Projects not on a CMP corridor will be scored as *Not Addressed (0)*.

3. Safety Improvements

Safety is an essential consideration to all transportation improvement projects. In order to evaluate the safety impacts of the candidate CMAQ or CRP projects, similar project categories will be grouped together and calculated as follows:

- Transit, Active Transportation and Transportation Demand Management (TDM): Regional crash rate per million vehicle miles traveled*projected reduction in vehicle miles traveled = expected reduction in crashes. For Active Transportation projects, reduction in level of traffic stress will also be factored.
- Traffic Flow:
 - Two way AADT*regional crash rate (by federal functional classification) * 365 / 1,000,000 = number of crashes per mile
 - Number of crashes per mile*mileage of project = total number of crashes

Expected reduction in crashes = total number of crashes*crash reduction factor³

The Diesel Emissions Reductions and Alternative Fuel Technologies category receives no points for the Safety factor. Projects in the Other Projects category will be scored accordingly on a case-by-case basis.

Projects that are expected to reduce 2.0 or more crashes per year will get a *High (3)* score. Projects with an expected reduction in crashes from .75 to 1.99 crashes per year will get a *Medium (2)* score, and projects with an expected reduction of less than .74 crashes will score will score *Low (1)*. Projects with no demonstrable impact on safety will receive a score of *(0)* for this factor.

Candidate CMAQ or CRP Projects at locations listed in the most recent Highway Safety Network Screening data will automatically achieve a High (3) score if the location is in the top 20% of intersections in the region that are underperforming from a safety standpoint (highest number of "excess crashes").

4. Funding

There are two considerations for this factor: federal share and non-traditional funding sources. Projects that bring significant non-federal and non-traditional funding to the TIP will warrant additional consideration. Projects requesting funding of less than 50% of the total project cost from federal funding programs (including CMAQ or CRP) will receive a *High (3)* score for this scoring factor. Projects requesting between 50% and 70% federal share will receive a *Medium (2)* score. Projects requesting between 70% and 80% federal share will score *Low (1)*. Projects with a federal share above 80% will score *Not Addressed (0)*.

In addition, projects that can show a firm, in writing, commitment of non-traditional funding to the project will get 1 additional point. These projects are typically public/private partnerships that provide some of the required non-federal matching funds from private donations, philanthropic sources, local businesses, or other non-government resources. As stated previously, these projects are subject to the requirements outlined in <u>FHWA's CMAQ Program</u> *Guidance or FHWA's Carbon Reduction Guidance*.

5. CMAQ ONLY - Air Quality Nonattainment Status in Project Area

Projects in the Clairton PM2.5 nonattainment area with demonstrated PM2.5 emission benefits will score *High (3)* for this scoring factor. Projects that are not in the Clairton PM2.5 nonattainment area, but in areas that are nonattainment or maintenance for both PM2.5 and Ozone will score *Medium (2)*. Projects in areas that are nonattainment or maintenance for either Ozone only or PM2.5 only will score *Low (1)* Projects that are not in a nonattainment or maintenance area, or do not demonstrate emissions benefits for the nonattainment pollutant, will score *Not Addressed (0)*.

³ Crash Reduction Factor (CRF) A CRF is the percentage crash reduction that might be expected after implementing a given countermeasure. (In some cases, the CRF is negative, i.e. the implementation of a countermeasure is expected to lead to a percentage increase in crashes.) A CRF should be regarded as a general estimate of the effectiveness of a countermeasure for planning purposes only. The estimate is a useful guide, but, ultimately, it remains necessary to apply engineering judgment and to consider site-specific environmental, traffic volume, traffic mix, geometric, and operational conditions which will affect the safety impact of a countermeasure.

Projects in an ozone nonattainment area should be able to demonstrate reductions in ozone precursor emissions. Likewise, projects in PM nonattainment areas should be able to demonstrate reductions in particulate emissions. Maps of the nonattainment and maintenance areas in southwestern Pennsylvania are in <u>APPENDIX C</u>.

Projects that are on the National Highway System (NHS) in the Pittsburgh Urbanized Area will receive a bonus point. A map of the NHS in the Pittsburgh urbanized area is also in <u>APPENDIX C</u>.

6. CMAQ ONLY - Diesel Emissions Reduction Potential

Diesel retrofit projects in PM2.5 nonattainment areas will receive 1 bonus point. All other project types will receive a score of 0.

7. Community Demographic Analysis

Projects located in an air quality non-attainment area will be evaluated based on the positive impacts to the areas of low-income population demographics. Projects will receive a:

High (3) rating if the project provides positive benefits in non-attainment, low-income communities.

Low (1) Rating: If the project provides positive benefits to a closely adjacent low-income community.

Projects not located in a low-income community will receive 0 points.

See Appendix C, Map D, Community Demographic Analysis (Percent of Low-Income Households in Air Quality Non-Attainment Areas).

Deliverability/Project Readiness

Project readiness and ease of deliverability of potential CMAQ and CRP projects are essential to maximize federal funding opportunities for the region, as all federal funds and programs have a deliverability timeframe that must be met or funding could be lost.

Readiness and deliverability evaluation criteria were established that will be used to review and evaluate project applications based on a range of factors. Applicants should review and become familiar with PennDOT's <u>Publication 740: Local Project Delivery Manual</u>. Applicants can also review PennDOT's <u>Local Projects Website</u> which includes Publication 740 videos that give an overview of the entire project process. Each applicant will submit a project delivery checklist. Often during project development, issues arise that delay project delivery and these potential issues will be evaluated in the deliverability scoring. For example, projects with complex right-of-way, utilities, and / or railroad involvement are likely to score lower in regard to deliverability. Projects within existing right-of-way, with no utility or railroad involvement are likely to score higher. Each proposed project will be scored on applicant responses within the project delivery checklist section of the application. A nonpartisan Deliverability Committee will evaluate each candidate project in terms of its ability to be delivered on-time and within budget. This committee will be appointed by SPC staff and will serve as a separate committee that will inform the SPC Federal Competitive Programs Selection Committee. Utilizing the collective knowledge, experience, and removing any conflicts of interest, the committee will meet and discuss the scores and major discrepancies.

The Deliverability Committee will review each application and rate the project based on ten deliverability criteria. The ten deliverability criteria are outlined below. Applications that are non-infrastructure will not be ranked on, nor will consideration of the application be impacted by, right-of-way, railroad, or utilities. These criteria are further defined below:

1) Sponsor History

The number of projects the local sponsor already has in development and ongoing within SPC competitive programs will be considered when accessing the capacity to deliver the proposed project.

Sponsors that have managed a federal project successfully in the past, attended a training regarding local project management or PennDOT's Publication 740 training = 3.

Sponsors that have never managed a federal project but have attended a training regarding local project management or PennDOT's Publication 740 training = 2.

Those sponsors that are new to federal project management and have not attended a training regarding local project management or PennDOT's Publication 740 training = 1.

2) Political Support

Applicants should include examples of political support (letters of support, meeting minutes, etc.).

Application includes documentation of political support (resolution of support, political support letters, endorsed by local advocacy groups) = 3.

The application mentions political support, but no documentation provided = 2.

No discussion or documentation of community / political support = 1.

3) Community Support

Applicants should include examples of community (letters of support, meeting minutes, etc.).

Application includes documentation of community support (documented community request, evidence of positive public outreach) = 3.

The application mentions community support, but no documentation provided = 2.

The application does not mention community support.

4) Environmental Clearance / Permit Complexity

In addition to information included in the application the environmental deliverability criteria will use the SPC environmental project scoring, which is similar to the PennDOT Connects environmental scoring and based on known environmental resources and constraints to help to evaluate the project area for environmental concerns. Each project will be mapped and run through the scoring model and assigned a score.

Project area has no known environmental concerns (public parks, historic, T&E, wetlands, potential hazardous waste) and low disturbance (Low Environmental Score) = 3.

Project area has high probability of encountering resources and moderate disturbance = 2.

Project area has high probability of encountering resources and a large disturbance footprint (High Environmental Score) = 1.

5) Other Agency/Entity Involvement

Applicants should show evidence that they have coordinated with other agencies that will need to be involved with the project. For example, if the proposed project is located on a State Route the project sponsor should show evidence that they have introduced and discussed this project with a representative of PennDOT. Another example is a project that spans multiple municipalities, or a project sponsored by a County who needs to coordinate with the municipality where the project is located.

No coordination needed with other agencies/entities = 3.

Medium level of other agency/entity involvement = 2.

High Level of other agency/entity involvement (PennDOT=SR, DCNR = Parks) = 1.

6) Project Readiness

Applicants should provide a well-defined scope, schedule, and cost estimate with details on how the estimate was developed. Applicants that include a design will score higher than applications with no design; the score may be impacted by the reviewing committees' interpretation of "design" vs. "planning concept". Projects that involve a purchase, program, or promotion will score a three unless the reviewing committee feels there would be delays with fund transfer or does not have a detailed plan for what is being purchased or promoted.

Application includes design plans completed by a consultant knowledgeable with PennDOT's requirements and/or the project is immediately ready to move into construction = 3.

Application includes design concept = 2.

Projects that are in the planning stages = 1.

7) Understanding Project Development Process

Project sponsors should consult *PennDOT Publication 740: Local Project Delivery Manual* in order to proactively identify any potential deliverability issues the candidate project(s) may experience and factor them into their schedules and cost estimates.

Sponsors who have hired a consultant that is very knowledgeable with PennDOT's project delivery process = 3.

Sponsors who have hired a consultant that is somewhat knowledgeable with PennDOT's project delivery process = 2.

Sponsors who have hired a consultant that is unfamiliar with PennDOT's project delivery process = 1.

8) Anticipated Utility Involvement

Application should show an understanding of what utilities are in the project area and whether any may be impacted.

There is no known utility involvement or less than 24 inches of excavation = 3.

High probability of encountering utilities but low probability of relocating = 2.

High probability of encountering utilities and high probability of relocating = 1.

9) Railroad located within or adjacent to the project area

Application should note whether a railroad or at-grade crossing is within the project area and may be impacted / altered. Application should note whether an adjacent railroad could be involved (need for protection services, access permits, etc.).

No railroad in project area = 3.

Railroad nearby but low probability of involvement = 2.

Railroad involved = 1.

10) Right-of-Way Acquisition

Applicant should note if the project can be constructed entirely within existing public right-of-way, and be ready to prove with documentation, should the application be awarded a grant. If right-of-way is required for the project, the application will generally score lower than projects with no required right-of-way. Even if the right-of-way is owned by the sponsor, a review from PennDOT Right-of-Way unit may be required.

Project is entirely on local sponsor owned transportation right-of-way or Department owned right-of-way = 3.

Project involves temporary construction easements or minor strip takes or acquisition of right-of-way has already begun = 2.

Project involves right-of-way acquisition, but no ROW plan in development = 1.

The criteria are then weighted according to the anticipated effect on timely delivery. For example, right-of-way acquisition is weighted higher than sponsor history. These scores are combined into one deliverability score for each project.

Appendix A: CMAQ and CRP Program Management and Other Regulatory Requirements

CMAQ and CRP Program Management

Once a project is programmed on the TIP, project sponsors have significant work to complete to obligate the funds. A project being placed on the TIP does not guarantee that funding will be made available for the project; the project sponsor must demonstrate to the satisfaction of the funding agencies that the project is deliverable on the schedule shown on the TIP, that other needed project funding is in place, and that the project sponsor has the needed technical and managerial capability and capacity to implement the project.

The additional steps that must be completed by project sponsors after successfully having a project programmed on the TIP include key milestones such as: securing commitments from project partners for the non-federal funds needed for the project; assessments of project readiness by funding agencies; a determination that the project sponsor has the capability to deliver the project; review of the project scope, schedule, and cost to ensure that they are still applicable; and execution of reimbursement agreements or grant contracts for federal funds.

The CMAQ and CRP Programs are reimbursement programs; not grant programs. Any costs incurred on the project prior to execution of a reimbursement agreements (with PennDOT) or grant contracts (FTA) cannot be reimbursed by the federal government. As eligible costs are incurred after the reimbursement agreements are in place, the sponsor will generally pay invoices with its own funds, and then request reimbursement from the funding agency (PennDOT or FTA). This means that the sponsor must demonstrate that it has the resources and cash flow to complete the project under the required reimbursement agreements.

Ideally, a project will advance according to its programmed schedule. But, projects can be delayed due to unforeseen obstacles such as environmental, utility and right-of-way issues, community concerns, changes in the cost and availability of materials, or changes in the project sponsor's priorities. Please refer to the following section, *Other Regulatory Requirements*, to learn more about these obstacles and to prepare your project to avoid them. Tracking each project's progress is necessary so that delays can be identified and remedied as soon as possible and so that scarce CMAQ and CRP resources can be reallocated as necessary.

Project sponsors are required to provide a preliminary set of milestones and schedule as part of the CMAQ or CRP project application. Milestones could include items such as: a date for securing needed non-federal matching funds; deadline for documenting compliance with local ordinances and zoning codes; deadline for executing the PennDOT project reimbursement agreement or federal grant contract; schedule for final state and/or federal concurrence on project scope and cost; schedule for completion of final designs; dates for completion and approval of environmental reviews. The project milestones are intended to identify the key steps in advancing the project toward funding approval and, ultimately, implementation. They will be unique to each project depending on the project type, complexity, and coordination required. SPC staff and PennDOT will work with project sponsors to establish realistic milestones and schedules for each project.

Once the project milestones are set, SPC and PennDOT will require periodic status updates from the project sponsor in order to monitor progress against the milestones. The content and format of the

updates will be reviewed with project sponsors in more detail when the project is on the TIP.

As stated previously, PennDOT has provided guidance to sponsors of local projects of the processes associated with developing a local transportation project (PennDOT Local Project Delivery Manual; PennDOT Publication 740, June 2019). The document describes the requirements for implementing local projects using federal funding. The early understanding of these requirements by project sponsors helps to streamline the overall project development process by saving project sponsors' and PennDOT staffs' time, by reducing omissions in projects causing substantial downstream delays, and by making efficient use of federal, state, and local funds.

Before submitting its application for CMAQ or CRP funds, project sponsors should understand, and carefully consider:

- PennDOT guidance
- USDOT funding approval processes
- SPC's CMAQ and CRP Program Carryover Policy
- Rules for consultant procurement
- Requirements for federal and state oversight during project implementation.

Other Regulatory Requirements:

There are a number of State and Federal regulatory requirements that apply to this program. Most, if not all, of these requirements (competitive bidding, minority business participation, Davis Bacon Act, prevailing wage rates, Buy American Act, and Americans with Disabilities Act) can be unfamiliar to project sponsors. In most cases, for compliance with environmental regulations during preliminary engineering, it is expected that project sponsors will secure professional assistance familiar with PennDOT policies and procedures (consulting engineers) to assist them in satisfying these requirements and advancing their project. PennDOT District staff should be contacted to assist with the interpretation and application of these requirements. In addition, as stated above, sponsors should refer to the <u>PennDOT Local Project</u> <u>Delivery Manual; PennDOT Publication 740, June 2019</u>.

A list of some of these requirements, as well as a brief discussion of each, follows.

Agreements and Eligible Costs

The project sponsor must execute the required agreement(s) with PennDOT or an FTA grant prior to proceeding with any work on the project. Any project costs incurred prior to the execution of a reimbursement agreement for which federal dollars are requested will not be eligible for reimbursement. PennDOT will provide guidance, if requested. Interest payments made by municipalities or other project sponsors to finance any portion of the project costs are not reimbursable. Reimbursement agreements are required for each phase of the project (Preliminary Engineering, Right of Way, Construction). PennDOT reimbursement agreements are typically prepared and executed in their online Reimbursement Agreement System (RAS).

Proprietary Items

The project specifications must list "three manufacturers" or "approved equal" or a generic specification. If a proprietary item is required, an approval letter from PennDOT Central Office will need to be obtained. A sample request letter can be provided if required by any sponsor. All items must also be Bulletin 15 approved, or a waiver will be required.

Budget and Cost Estimating

The sponsor must demonstrate that there is an acceptable funding strategy for the project. A well-defined scope of work is needed to develop an accurate budget. Budget considerations are very important, and an itemized list of anticipated expenses (including labor, supplies, materials and other anticipated costs) should be provided in an application attachment. The budget must be prepared and should be divided into project development phases that include environmental clearance, right-of-way, design and construction phases. The budget should identify all sources of funding and how each itemized activity will be funded. Estimated funding for the project that may be from sources other than TASA/CMAQ/CRP should be identified, such as other federal funds, state, local, donated services, in-kind services, volunteer, etc. In the case of TASA funded projects, the preliminary engineering and right-of-way are not funded by TASA funds, a complete budget is needed to ensure that the applicant can fund the required project phases. Speaking with PennDOT Engineering District staff and other professionals familiar with PennDOT policies and regulations – such as architects, designers, engineers, contractors or other appropriate individuals that have PennDOT project experience – is highly recommended.

The budget section of the application must be completed and divided into project development phases that include preliminary engineering, final design, right-of-way, utilities and construction phases. The budget should identify all sources of funding and how each itemized activity will be funded.

TASA Pre-Construction Costs

In the case of TASA funded projects, the project sponsor is responsible for funding pre-construction activities; they are not eligible for TA Set-Aside funds. Funding for pre-construction phases may come from any combination of federal, state, local, or private funds. The sponsor should also list any donated, in-kind, and volunteer services, including those from the Youth Conservation Corps. Even though the preconstruction activities are not funded by program funds, a complete budget is needed to ensure that the sponsor can fund the required project phases. Development of the pre-construction phase will be expected to be consistent with the <u>PennDOT Connects</u> process.

Project Construction Cost Estimate – Bid Items

For the construction phase, the project sponsor must develop and attach to the project application a construction cost estimate that includes the following:

- ECMS Item numbers (optional)
- Bid Item Description/Name
- Quantity
- Unit of Measure
- Unit Price
- Item Total Cost

Example:

ECMS ITEM NO). ITEM DESCRIPTION	QUANTITY	UNIT	UNIT COST	ITEM COST
0608-000	MOBILIZATION	1	L.S.	\$27,000.00	\$27,000.00
0686-000	1 CONSTRUCTION SURVEYING	1	L.S.	\$8,000.00	\$8,000.00
0901-000	1 MAINTENANCE AND PROTECTION OF TRAFFIC	1	L.S.	\$15,000.00	\$15,000.00
0849-001	ROCK CONSTRUCTION ENTRANCE	2	EA	\$3,500.00	\$7,000.00
0866-000	5 HEAVY DUTY SILT BARRIER FENCE	100	L.F.	\$11.00	\$1,100.00
0867-001	2 COMPOST FILTER SOCK, 18" DIAMETER	200	L.F.	\$10.00	\$2,000.00
0201-000	1 CLEARING AND GRUBBING	1	L.S.	\$5,000.00	\$5,000.00
0627-3020	TEMPORARY BARRIER, TEST LEVEL 3, <1=2'	120	L.F.	\$70.00	\$8,400.00

Project Construction Cost Estimate – Inflationary and Other Costs

Construction Inspection Costs

Construction inspection costs must be included in the total construction costs. For projects under \$1 million in total construction costs, up to 15% of the construction costs is allowed for project inspection. If a project has a construction cost of over \$1 million, up to 12% of the construction costs is allowed for the inspection cost.

Contingency Costs

Project sponsors may add up to 10% to the cost of the construction phase of the project (not the inspection phase) to account for unforeseen expenses.

Inflationary Costs

Project sponsors must design their project cost estimates for the year in which the project is anticipated to be constructed, not the year that the application is submitted. Most projects selected in this application round will go to construction in calendar year 2029 or later, depending on the complexity of the project and the degree to which the sponsor has advanced project design. For the purposes of cost estimating, it is recommended that project sponsors consider inflationary costs. An inflation rate of 3% per year is acceptable. Project sponsors should create project cost estimates that assume construction in 2029 or later.

Please enter the federal funds requested for the project. The following costs may be added to the total construction cost:

- Up to two years of inflation at 3% per year
- Contingency costs may be included, but may not exceed 10%
- Construction Inspection (15% for projects < 1 million, 12% for project $\geq 1 \text{ million}$)

Based on the project, and in consultation with the consultant and PennDOT District, the estimate may also need to contain allowances for the items below:

- Administration/Department Costs
- Maintenance and Protection of Traffic
- Erosion and Sedimentation Control
- Inspector's Field Office, Equipment Package, and Microcomputer
- Mobilization
- Stormwater
- Drainage
- Pre-Construction Schedule
- Construction Surveying
- Internal Facilitation

It is also important to note that PennDOT pre-qualified contractors will be required, and that Davis Bacon wage rates will apply. These factors will increase project costs above that of a typical municipally funded project.

Cost Estimating Resources

In addition to working with the consultant and PennDOT District personnel, please reference <u>PennDOT Pub 352 - Estimating Manual</u> which will provide more detailed information.

As sponsors develop their estimated budget, they should talk with PennDOT Engineering District staff and other professionals familiar with PennDOT policies and regulations, such as architects, designers, engineers, contractors, or other appropriate individuals that have PennDOT project experience. **Important**: Project sponsors should design their project cost estimates for the year in which the project is anticipated to be delivered (current cost + 3%/yr. inflation). Note also that 12-15% of the estimated construction cost will be needed for construction inspection. The 12-15% construction inspection cost must be included in the Total Construction Costs. In addition, project sponsors should factor in "Commonwealth incurred costs" at approximately 2% of the total construction cost.

Reimbursement

TASA/CMAQ/CRP are federal cost reimbursement programs, and no money is provided upfront. No reimbursement will be provided for costs incurred before a Federal Form 4232/FTA grant agreement is authorized; *this does not occur automatically once a project is awarded or placed on the TIP*. Sponsors are advised to contact PennDOT District or SPC staff soon after their notice of award has been received to review the next appropriate steps.

PennDOT utilizes a registered business partner in the Electronic Construction Management System (further explained below) and become a vendor in the SAP system.

Project Invoicing and Payments to Contractors

Once a project is authorized to advance and begins to incur costs, the project sponsor will receive periodic "certified invoice" process whereby project sponsors, upon receipt of invoices from the contractor, reviews and – if they concur with the reported expenses – approves the invoices and submits them to PennDOT. PennDOT will then initiate a procedure to pay the sponsor. Upon receipt of reimbursement from PennDOT (usually 4-6 weeks) and provides the approved funds to the sponsor. Upon receipt of payment from PennDOT, the sponsor has up to ten days to pay the contractor after getting reimbursed from PennDOT. By using this process, the project sponsor does not typically have to use their own funds. The sponsor will only be reimbursed for actual approved project expenses, up to the amount approved for the project. This process is further described in the PennDOT Local Project Delivery Manual; PennDOT Publication 740, June 2019, which is provided to awarded project sponsors.

Transit projects may follow the Federal Transit Administration (FTA) reimbursement procedures.

Electronic Construction Management System (ECMS)

ECMS is the communication portal between PennDOT and Business Partners for conducting transportation projects. It is used from project bidding through the construction close-out process. In most cases, sponsors of TA Set-Aside projects will need to register as a <u>PennDOT ECMS Business</u> <u>Partner</u> for administration of their project.

The vast majority of local projects are bid by PennDOT in ECMS, on behalf of the project sponsor. Generally, plans are prepared according to Publication 14M, Design Manual 3, Plans Presentation. Your designated District Project Manager will be familiar with plans preparation and how projects must be entered into ECMS.

In the rare instance that a project is not bid by PennDOT in ECMS (known as a paper let) the project sponsor must request approval in writing. A request letter must be submitted to the designated PennDOT District Project Manager at the onset of the Project Development Process. The PennDOT District Project Manager then determines eligibility and obtains approval from PennDOT Central Office.

Registering as a Business Partner

All organizations that will receive payments from the Commonwealth of Pennsylvania or that will receive grant or loan money from the Commonwealth, must have an SAP number for the payments to be processed. Project sponsors not already registered as a vendor with the Commonwealth must also acquire a SAP vendor number.

PennDOT Connects

PennDOT recognizes the profound economic and quality of life implications that transportation has on communities. To better identify the needs of communities early in the project planning process,

PennDOT Connects requires the consideration of local planning studies, comprehensive plans and other local government input at the onset of project planning.

PennDOT District Planners, with SPC staff support, coordinate PennDOT Connects meetings to consider local planning and local government input on projects, this effort includes CMAQ funded projects. If the CMAQ project is not already sponsored by a local government entity, PennDOT, with support from SPC staff, will conduct applicable PennDOT Connects efforts.

Public Involvement

Early and continued public involvement in program activities will need to be sought to ensure consistency with the requirements for public involvement in the metropolitan and statewide planning regulations and with the National Environmental Policy Act (NEPA) project implementation guidelines. The applicant should contact SPC for more information. Generally, the public involvement activities handled through the application review and TIP approval process by SPC fulfills this requirement. However, the project sponsor should discuss their project locally in a public format, such as at local planning commission and/or municipal meetings, particularly if municipal approval, zoning amendment, etc. is needed.

Environmental Clearance

All projects will require an environmental clearance document as part of the preliminary engineering phase of work. The level of effort varies by the type of project, the anticipated impact and the degree of public controversy. The NEPA documentation may be a Categorical Exclusion (CE), Environmental Assessment (EA), or Environmental Impact Statement (EIS). Preparation of the document can be a cooperative venture. Normally, at the project scoping, a decision will be made on the type of documentation required and which entity will prepare the document. The project sponsor or their consultant will be required to prepare the environmental clearance document. At times, there may be costs associated with obtaining environmental clearance. It is important to note that project sponsors should not begin any construction activities or site preparation prior to receiving their environmental clearance has been obtained for the project. For PennDOT projects environmental clearance will be prepared in the online <u>Categorical Exclusion Expert System</u> also known as the CE Expert System.

Consultant Selection Procedures

There are various steps that need to be followed to advance a federally-funded project into the design phase starting with selecting an engineer/consultant who will complete the work. These federal procedures must be followed. Note that they may be different than the procurement procedures normally used by the project sponsor for their non-federally funded projects. Prior to advertising for consultant, all Local Project Sponsors must have their selection procedures reviewed by their respective PennDOT District Office (or FTA Region III office, if the CMAQ funds will be administered through an FTA grant). The District (FTA) will review the documentation and, if

appropriate, forward their concurrence to the PennDOT Bureau of Project Delivery, Contract Management Section for review and approval. The Contract Management Section will document approval of the selection procedures via a letter to the District, who should notify the Local Project Sponsor. If this process is not followed, federal funds may be jeopardized. This approval process is found in <u>PennDOT Publication 93</u>, Chapter 7.3.3, Consultant Selection Procedure Approval, and Appendix 7A, Policy and Procedure for Consultant Selection. Selection Procedures need only to be approved one time (after July 1, 2011) and are acceptable to be used for future advertisements. If the Selection Procedures undergo significant revisions at any time, then they must be re-approved.

Projects must follow standard federal/state procedures for all phases of work. Project sponsors should acquire the services of a qualified Project Manager to oversee the development and implementation of the project (including construction inspection) and ensure compliance with all state and federal requirements. This professional is typically an engineer, architect, or landscape architect may be appropriate, depending upon the nature and scope of the project. It is important to recognize that the project sponsor, not PennDOT, employs design and/or construction professionals at their own expense.

It is highly recommended that the selected consultant has experience with PennDOT specifications and has demonstrated experience in the successful delivery of TASA/CMAQ/CRP projects. Please consult the <u>PennDOT Publication 740: Local Project Delivery Manual</u> for qualifications of a PennDOT experienced consultant.

Design and Implementation Requirements

Certain projects will be required to adhere to design requirements defined in <u>PennDOT Design</u> <u>Manual, Part 1, Publication 10</u>. Specifically, Chapters 6 and 7 address key design requirements, including NEPA requirements, preliminary and final design processes, and key procedures for obtaining right of way and utility clearances. If required for the project, these steps, requirements and standards must be followed by the sponsor's project designer for the project to be funded through the TASA/CMAQ/CRP Program.

Right-of-Way Clearance

All right-of-way acquisition must follow federal regulations, including the Uniform Act (Uniform Relocation Assistance and Real Property Acquisition Policies of 1970). In particular, property owners must be advised that federal funding is being used to implement the project, and they are entitled to fair market value for their property. The property owner must be informed of this value, as determined by a qualified appraiser. In addition, if the sponsor does not have the authority to acquire property by eminent domain, the property owner must be so advised prior to any offer being made. This requirement does not preclude the voluntary donation of property to the project. Federal funds are not available for land that is already within the public domain, e.g., owned by a municipality; however, such land may be donated to the project as part of the sponsor's investment. Right-of-way certification will be required for all projects prior to advertising for construction bids.

NOTE:

- The requirements of the Uniform Act apply to any recent acquisition, regardless if federal funds are used for the purchase. Please contact your PennDOT Engineering District Right-of- Way Administrator if you have any questions or need specific guidance.
- Only projects for conversion of abandoned railway corridors and scenic overlooks are eligible to use TASA program funds for right-of-way acquisition.
- Due to deliverability concerns, sponsors are encouraged not to utilize CMAQ funds for right-of-way acquisition. Requests for this usage will be evaluated on a project-by-project basis.
- More information is available in Chapter 5, Right of Way Phase, of the Local Project Delivery Manual.

Utility Clearance

All projects must have a utility clearance form (PennDOT Form D-419) processed *prior* to the advertisement for bids. This procedure requires that the sponsor certify that all necessary arrangements have been completed for the relocation of any affected utility. PennDOT personnel will provide assistance with this process. PennDOT personnel will aid with this process. Due to deliverability concerns, sponsors are encouraged to not utilize CMAQ funds for the utility clearance phase of the project. Requests for this usage will be evaluated on a project-by-project basis. For PennDOT projects, PennDOT's <u>Utility Relocation Management System</u> (URMS) will be utilized for utility clearance.

Permits

It is the responsibility of the project sponsor to secure all necessary permits to design and/or implement the project. These may involve permits from the Pennsylvania Department of Environmental Protection or the U.S. Army Corps of Engineers, as well as local municipal permits and zoning approvals, PennDOT highway occupancy agreements, etc. The assigned PennDOT District Project Manager and/or hired consultant will be familiar with the permits required for each project and can provide guidance, as necessary.

Railroad Coordination

If your project involves a bridge over a railroad, a bridge that carries a railroad, a railroad grade crossing or would require acquisition or an easement on railroad property, you will need to involve the owner of the rail line early. This initial contact, which may be facilitated by your PennDOT District Project Manager in consultation with your District's Grade Crossing Engineer/Administrator, should define the proposed project scope and timeframe. In the case of Norfolk Southern, they offer a Public Projects Manual, which outlines their process for projects that go along, over, or under their rail facilities.

Projects involving railroads are likely to involve additional expenses (including direct costs to the railroad for design and plan reviews). Additionally, railroad coordination often leads to delays or project cancellation. As such, if possible, it is recommended that reasonable options to avoid impacting the railroad be explored.

Public Utility Commission Involvement (including Railroads)

Certain projects may require the involvement of the Public Utility Commission. It will be the responsibility of the project sponsor to contact the Public Utility Commission to secure the necessary actions by that agency. The Public Utility Commission could help to resolve disputes between PennDOT and utility companies or if the local project was to "alter" a highway/rail crossing. The PUC may also be involved if the proposed project involves facilities designed for bicycle use, including shared use paths and most rail trails.

Projects Involving Lighting

For any project that includes lighting, a lighting plan will be required, which may add time and cost to your project. For more information, reference Chapter 4.9, Section H of the <u>PennDOT Design</u> <u>Manual Part 1C</u>.

For guidance specific to TA Set-Aside projects, please reference "PennDOT Highway Lighting Requirements and Design Approval for TA Set-Aside Projects" document. Your PennDOT District TA Set-Aside Program Coordinator will also be familiar with these requirements.

Bidding

For projects that require a contractor to perform physical construction or rehabilitation, the sponsor's professional will assemble the contract proposal package. PennDOT's Engineering District Office will review the Plans, Specifications, and Estimate (PS&E) package.

PennDOT will bid the project(s) through the ECMS system. <u>ECMS</u> (Engineering and Construction Management System) is an internet-based computer system used to manage the design and construction of PennDOT projects. Local project sponsors are required to register as a <u>PennDOT</u> <u>ECMS Business Partner</u>, as is any organization that has a business relationship with PennDOT. FTA grants will have a different procedure.

Bid Savings and Bid Overages

Each selected project has been approved for a specific scope of work and funding level, based on the information submitted by the project sponsor and approved by the SPC Transportation Technical Committee. While each project is awarded a set amount, it is important to understand that PennDOT's focus is to deliver awarded projects; the funding provided is not an absolute award to the project sponsor. It should not be assumed that additional work can be performed if bids come in lower than the awarded amount.

Once bid, if the lowest bid falls below the project award amount, PennDOT captures the bid savings and returns them to the TIP Line Items. Again, delivery of the awarded project, not the dollar amount is key.

When bids exceed the design estimate, the sponsor will be asked to contribute toward the bid overage. If necessary, the District Project Manager will work with SPC and PennDOT Central Office to find additional funds to leverage the project sponsor's contribution; however, it cannot be assumed that additional funds will be available and there may be cases where the sponsor must bear all additional costs.

Construction

Project sponsor's contractor may proceed with the construction phase of the project only upon receipt written authorization (notice to proceed), which ensures that all necessary approvals have been secured. PennDOT Pub 740 requires a mandatory 12 weeks built into the pre-bid construction schedule between the let date and the issuance of the notice to proceed.

An approved contractor must perform construction. All materials used in conjunction with the project must meet project specifications and special provisions included in the Plans, Specifications, and Estimate package.

NOTE: 12-15% of the estimated construction cost is used for construction inspection.

Cost Increases/Changes in Scope of Work

Each programmed project (CMAQ -undergone air quality analysis) has been approved for a specific scope of work and funding level based on the information submitted by the project sponsor. When preparing a project scope and cost estimate, all project materials and labor costs should reflect the anticipated year of construction. It should not be assumed that cost increases can be covered with state or federal funds. There may be cases where the sponsor must bear any unforeseen project cost increases. It should be noted that **only the project in which funding is awarded is the project that** must be constructed. If the project that is awarded funding cannot proceed, the funding will return to the SPC TIP line item and will be reallocated to another regional project that has been competitively selected.

Maintenance

The project sponsor will be responsible for all costs associated with the maintenance and operation of the project after construction. No Federal funding will be provided for ongoing maintenance and operations costs. Failure by the sponsor to fulfill its maintenance responsibilities may result in the loss of future state and federal funds for private sponsors and the withholding of liquid fuels funds for municipal sponsors. The sponsor may transfer project maintenance and operation to another party with concurrence from the Department. As part of the application for funding, the sponsor should clearly illustrate its capacity to carry out on-going maintenance once the project is complete.

The sponsor must establish a formalized inspection and maintenance program, to be performed by its own or contract personnel, to ensure an acceptable level of physical integrity and operation consistent with the original design standards. This maintenance program, established in accordance with standards determined to be acceptable to PennDOT, must include, but is not limited to:

- Periodic inspections
- Appropriate preventive maintenance (i.e., cleaning, lubricating, refurbishing electrical equipment, etc.)
- A systematic record-keeping system
- The means to handle notification and implementation of emergency repairs

Failure by the project sponsor to fulfill its maintenance responsibilities may result in the loss of future state and federal funds.

Project Reporting and Cancellation

A project sponsor may, at any time in the project development process, decide to cancel the project and drop out of the program. The project sponsor will be responsible for the reimbursement of all federal funds received as of that date, as well as for PennDOT staff costs incurred as a part of the project. The sponsor will also be responsible for payment of all outstanding invoices to all project contractors. At a project kickoff meeting a joint staff of SPC and PennDOT Engineering District choose the timeframe and the specific milestones to be evaluated. Examples include reimbursement agreement, plans approved, etc.

Over the life of the project, reviews will be undertaken by joint staff to determine if significant progress has been reached toward the established milestones. During these reviews, if it is determined that insufficient progress has been made, the applicant will be warned that the project is in jeopardy of losing funding. If the project must be cancelled due to lack of progress or other unforeseen circumstances, the project sponsor will be notified by SPC (via written letter) that the project funding is no longer available, and the project has been cancelled.

Appendix B: Tables



2027-2030 TIP - CMAQ Project Rating Scorecard

	Scoring Factors	High (3)	Medium (2)	Low (1)	N/A (0)	Weight	Score
		Air Quality	Technical Analysi Factors	s			
	Change in Emissions				-	1	0
6	Change in Vehicle Miles Traveled		-			1	0
	Change in Vehicle Trips	in E				1	0
	CMAQ Cost per Unit Change in Emissions.		1.1.1			1	0
	CMAQ Cost per Unit Change in Vehicle Trips & Vehicle Niles Traveled				1	E11	0
	A		Air	Quality Techni	ical Analysis	Factors Score	0
		Ancillary	Selection Factors).			
	Consistency with Smart Moves for a Changing Region		1.1			1	0
8	Congestion Management Process (CMP) Comidor Rating					1	0
	Safety Improvements	1 1.1				1	0
	Yes (Funding Bonus Paint?	No				1	0
	Air Quality Nonattainment Bonus Yes I Status in Project Area Point?	40				1	0
	Diesel Emissions Bonus Reduction Potential Point?	Vo				1	0
	Community Demographic Analysis	112				1	0
		2		Ancilla	my Selection I	Factors Score	0
		Deliverability	/ Project Readine	SS			
	Deliverability / Project Readiness		-			1	0
			- i	Deliverability	/ / Project Rea	adiness Score	0
-						Total Score	0



2027-2030 TIP – Carbon Reduction Project Rating Scorecard

	Scoring Factors	High (3)	Medium (2)	Low (1)	N/A (0)	Weight	Score
	Air	r Quality Tec Fac	hnical Analysis tors	;			
	Change in Emissions	1.42		-		1	0
	CO ₂ Cost per Unit Change in Emissions		1			1	0
			Air C	Quality Techni	cal Analysis	Factors Score	0
	A	Ancillary Sele	ection Factors				
l.	Consistency with Smart Moves for a Changing Region		111			1	0
2.	Congestion Management Process (CMP) Corridor Rating	10.1				1	0
3.	Safety Improvements	1.4.4		1.2		1	0
ŀ	Yes No Funding Bonus Point?					1	0
5.	Community Demographic Analysis					1	0
				Ancilla	ry Selection	Factors Score	0
	Deli	verability / P	roject Readines	35			
ŀ.	Deliverability / Project Readiness					1	0
			1 T	Deliverability	/ Project Rea	idiness Score	0
						Total Score	0

Tables B-1 A and B-1 B

2027-2030 TIP – CMAQ & CRP Application Forms by Project Type

SPC CMAQ Application Form	Applicability
Application Checklist	All Projects
Candidate Project Description	All Projects
Project Budget and Schedule	All Projects
Project Delivery Checklist	All Projects
Complete Streets Checklist	As determined by SPC Staff
Relevant Project Forms	As determined by SPC Staff (examples in following table)

Table B-2, May 2025

CMAQ Eligible Project Types	SPC CMAQ Application Form	Example Projects/Description	
		Commercial Marine and Locomotive Diesel Engines Off-Road Diesel Engines	
Vehicle/Fuel Technology	Diesel Emissions Reductions and Alternative Fuel	Diesel Emission Control Technology and Equipment Alternate Fuel Vehicles	
	Technologies	Diesel Replacements	
		Fueling Infrastructure	
		Bus Rapid Transit	
		Change in Service Frequency for Existing Service Change in Time of Day for Existing Service Financial Incentives for Potential Transit Users High Speed Rail	
Public Transportation	Transit Improvement and Programs	New Fixed-Guideway Service	
		New Express Service New Local Service	
		New Shuttle Service Transit Amenities Improvements	
		Transit Center	
		Transit Vehicle Replacement / Fleet Expansion	
Traffic Flow		Intersection Improvements, signal improvements, Roundabouts, Bus Lanes (queue jump or bus-only thru)	
Improvements /	Traffic Flow Improvements	Bus Pull-Offs	
Intelligent Transportation Systems		Electronic Toll Collection at Toll Plaza Incident Management / Traffic Control Center Deploy ITS	
		HOV/HOT Facilities	
		Bicycle Use Marketing / Promotion Bikeway / Bike Lane Improvements	
	Commuter Bicycle and Pedestrian Improvements	Improved Bike Access to Transit Pedestrian Network Improvement	
		Shared Micromobility	
Travel Demand Management	Transportation Demand Management	Area-wide Rideshare Program Carpool/Vanpool Parking Incentives Compressed Work Week	
		Employer-Based Rideshare Program Expansion of Existing Vanpool Program Expansion of Existing Park-and-Ride Facilities Guaranteed Ride Home Programs	

		New Park-and-Ride Facilities New Vanpool Program Off-Street Parking Management in Commercial/CBD Areas Public Education, Outreach, Marketing, Promotions Telework Promotion
Lock and Dam and Marine Highways	Lock and Dam / Marine Highway	Projects that are on a marine highway corridor, connector, or crossing designated by the Secretary under section 46 U.S.C. 55601(c) (including an inland waterway corridor connector or crossing) may be eligible if it is functionally connected to the Federal-aid highway system and the Secretary determines the project is likely to contribute to the attainment or maintenance of a NAAQS.
		Advanced Technology Vehicles
		Vehicle-to-Infrastructure Communications Equipment Commuter Choice Tax Credit
		Long Distance Commuter Ferry Truck Stop Idling Reduction
Vehicle Activity Programs / Other CMAQ	Other Projects	Freight and Intermodal Facilities Port-Related Freight Operations
Eligible Projects	,	Dust Mitigation
		Inspection and Maintenance Programs
		Training
		Innovative Projects for reducing emissions
		incentives as part of employer-based transportation management plans

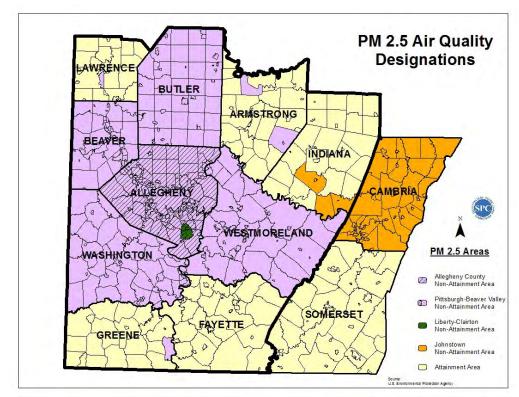
Table B-3, May 2025

2025-2028 TIP – SPC Federal Competitive Programs Selection Committee Membership

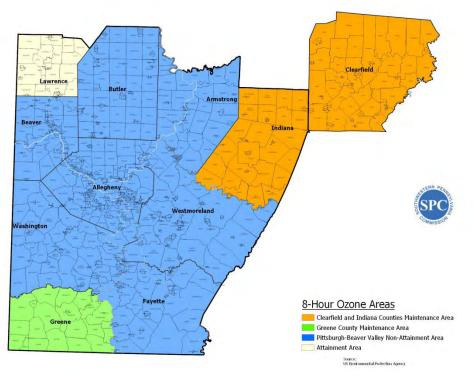
Interest Group	Number from Group	Representing	Other Criteria	
Planners	11	10 SPC counties and the City of Pittsburgh	1 per SPC Member County/City of Pittsburgh	
PennDOT Districts	3	PennDOT Districts 10, 11 & 12	1 per local PennDOT District	
Transit	3	Transit Operators	1 each - urban, small urban, rural	
PennDOT Central Office	2	PennDOT Central Office	Select from: Center for Program Development and Management, Bureau of Design and Delivery, Bureau of Public Transportation	
ТМА	1	Transportation Management Associations	1 of ACTA, OTMA <u>or</u> PDP	
Air Quality Agency	1	Air Quality Regulatory Agency	PADEP <u>or</u> Allegheny County Health Department	
Active Transportation	1	Active Transportation Organizations	Bike PGH or another organization TBD	
Freight	1	Freight Organization	Port of Pittsburgh Commission	
Resource Agencies				
Federal Highway Administration – Pennsylvania Division / Federal Transit Administration – Region III PennDOT – Bureau of Rail, Freight, Ports, and Waterways SPC – CMAQ Program Staff				

Table B-4, May 2025

Appendix C: Maps – Air Quality Nonattainment & Maintenance Areas in SW PA, NHS in Pittsburgh Urbanized Area, % Low Income Households

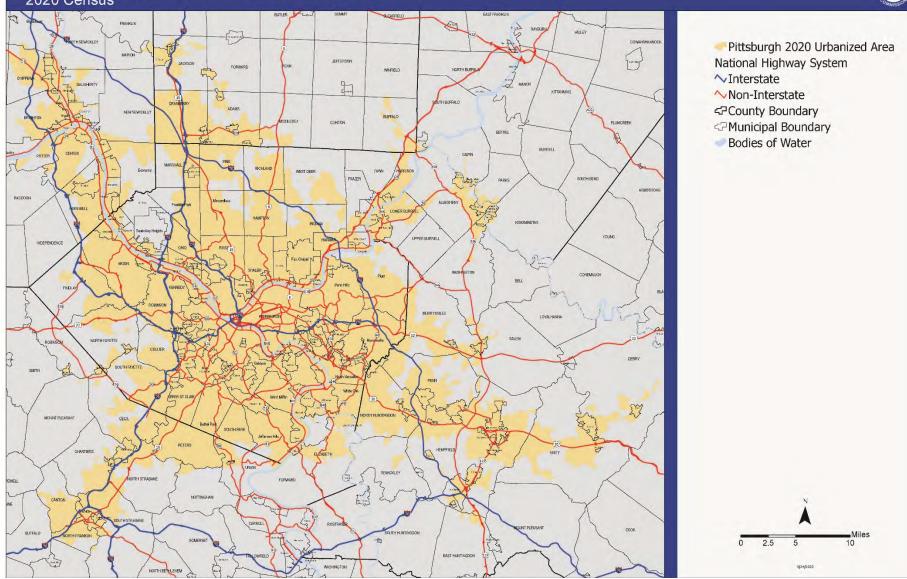


Map A - PM2.5 Areas in Southwestern Pennsylvania, August 2021



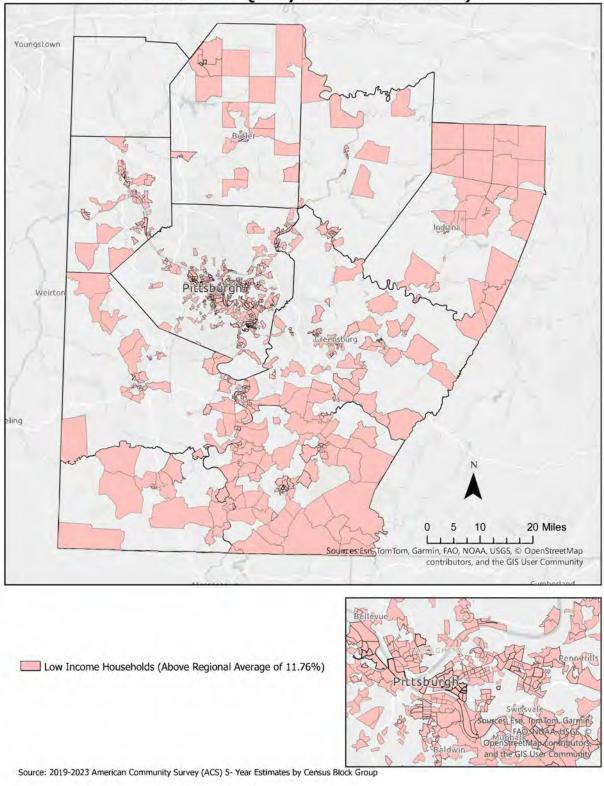
Map B - 8-Hour Ozone Areas in Southwestern Pennsylvania, August 2021

Pittsburgh Urbanized Area



Map C-NHS in Pittsburgh Pennsylvania Urbanized Area, June 2023

SPC



Community Demographic Analysis (Percent of Low-Income Households in Air Quality Non-Attainment Areas)

Map D- Community Demographic Analysis (Percent of Low-Income Households in Air Quality Non-Attainment Areas)June 2025

The links presented below provide information and guidance about the CMAQ and CRP Program and related topics that should be very useful to project sponsors as they complete their candidate CMAQ and CRP project applications. Click on the hyperlinks for access to each resource item.

- SPC Homepage
- SPC SmartMoves: Long Range Transportation Plan & Transportation Improvement Program
- > SPC Transportation Performance Management Site
- PennDOT Local Project Delivery Manual (PennDOT Publication 740, June 2019)
- > <u>Code of Federal Regulations: Planning Assistance and Standards</u>
- FHWA CMAQ Information Webpage
- FHWA's "CMAQ Public Access System", containing project data from state DOT annual reports
- Congestion Mitigation and Air Quality Improvement (CMAQ) Program Interim Guidance as Revised by the Infrastructure Investment and Jobs Act
- FHWA Interim Guidance on CMAQ Operating Assistance under MAP-21, July 2014
- Pennsylvania Carbon Reduction Strategy (CRS)
- > <u>SPC Congestion Management Process (CMP) Webpage</u>
- SPC CMP Corridors
- SPC CMP Strategies
- > <u>SPC Active Transportation Resource Center</u>
- > SPC Transportation Demand Management Strategic Action Plan
- US Environmental Protection Agency Verified Technologies for SmartWay and Clean Diesel Webpage

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2. TASA Guidance



PROGRAM GUIDANCE AND PROCEDURES: TRANSPORATION ALTERNATIVES SET-ASIDE PROGRAM

June 2025

Background:

This document will describe the process and criteria used by the Southwestern Pennsylvania Commission (SPC) to evaluate and select new projects for SPC's allocation of Transportation Alternatives Set-Aside (TASA) funding for federal fiscal years 2027-2030.

SPC is the federally mandated Metropolitan Planning Organization for the Southwestern Pennsylvania region, which encompasses the Counties of Allegheny, Armstrong, Beaver, Butler, Fayette, Greene, Indiana, Lawrence, Washington and Westmoreland. SPC typically receive a yearly sub-allocation of \$3.8 million per year in federal Transportation Alternatives Set-Aside funding. This funding has not been authorized by Congress for the 2027-2030 program period. It has been Pennsylvania and SPC's procedure in this situation in the past to continue to plan on flat funding levels going into the next program period, but there is no guarantee that the TASA program will continue. **This funding is separate from, and in addition to, TASA funding from PennDOT and also must be awarded using a competitive selection process. More information on the Statewide PennDOT TASA program is available on their Transportation Alternatives Set-Aside webpage.**

Schedule

The SPC Federal Competitive Programs Selection Committee will be convened in October 2025. It will assist in prioritizing the candidate projects for SPC Federal competitive funding on the 2027-2030 Transportation Improvement Program (TIP), reporting their findings, and making recommendations to SPC's Transportation Technical Committee (TTC), which will ultimately recommend the final Federal SPC federally funded projects for inclusion on the TIP. Membership of the committee is designed to be a balanced and diverse representation of the SPC committees. The SPC Federal Competitive Programs Selection Committee will review the candidate projects based on the ancillary selection factor ratings that will be completed by SPC staff, and the evaluation of deliverability/project readiness that will be completed by a separate nonpartisan deliverability committee. Recommendations will be developed by early December 2025. These recommendations will be presented to SPC's TTC and others, as appropriate, in December prior to presentation to SPC's Executive Committee at its December meeting. The table below illustrates the TASA process timeline.

Important Milestone Dates for 2027-2030 TIP		
May TTC Meeting	Process Update	
End of May	Program Guidance finalized	
June 2, 2025	Application period opens	
June 10, 2025	Application and Project Delivery Webinar	
July 17, 2025	DEADLINE: Pre-application due	
By July 31, 2025	SPC provides detailed application information to applicant	
September TTC Meeting	Status Update	

2

September 15, 2025	DEADLINE: Detailed Application period closes
October – November	Application review and technical scoring by SPC staff
October – November	Deliverability evaluation by deliverability evaluation committee
October – November (TBD) Selection Committee Mtgs 1 & 2	Candidate project applications review, technical scoring review, deliverability review
Late November (TBD) Selection Committee Mtg 3	Project technical and deliverability scoring discussed and finalized. Project selection recommendations made.
December TTC Meeting	Projects recommended by TTC as part of pre-draft 2027 TIP
December SPC Executive Committee Meeting	Projects approved as part of pre-draft 2027 TIP

Project Sponsor Eligibility

In 2021, the Infrastructure Investment and Jobs Act (IIJA) was passed. The IIJA maintains all previously eligible uses of the TA Set-Aside. It also expands the range of eligible applicants to include nonprofit entities, small MPOs, and State DOTs. Eligible TA Set-Aside project sponsors include:

- 1. Local governments;
- 2. Regional transportation authorities;
- 3. Transit agencies;
- 4. Natural resource or public land agencies, including Federal agencies;
- 5. School districts, local education agencies, or schools;
- 6. Tribal governments;
- 7. A nonprofit entity
- 8. Any other local or regional governmental entity with responsibility for oversight of transportation or recreational trails (other than a metropolitan planning organization or a State agency) that the State determines to be eligible, consistent with the goals of subsection (c) of section 213 of title 23.
- 9. A State, at the request of an eligible entity listed above

Non-profit agencies must work with an eligible government or authority to develop TASA candidate infrastructure projects, which construction will be administered by the facility owner. Projects that are proposed to be sponsored by non-profit entities must demonstrate local governmental commitment for construction administration.

To date, PennDOT has deemed entities as eligible TA Set-Aside project sponsors if they meet the criteria above.

As State DOTs are responsible for interpreting sponsor eligibility beyond those entities clearly defined in the IIJA, PennDOT has determined that the following entities are eligible to sponsor a TA Set-Aside project:

- 1. County Recreational Trails Authorities
- 2. County Recreational Authorities
- 3. Urban Redevelopment Authorities
- 4. Transportation Management Associations (TMAs)
- 5. Universities and Colleges (public and private improvements must be in public right-of-way)

In addition to the authorities noted above, PennDOT recognizes "municipal authorities" as eligible sponsors, but only when the authority's establishing document specifically cites transportation or recreation as a stated purpose. Industrial development authorities, for instance, would not be eligible.

It is incumbent upon each project sponsor to read this guidance and become familiar with the application, selection, and implementation procedures associated with the TA Set-Aside. Applying for federal funds begins a significant undertaking, which must be led by the project sponsor from start to finish. Projects that are not able to navigate the federal requirements and be ready to be advertised for construction by August 31, 2030 may be subject to forfeiture of awarded funds and project cancellation.

Funding and Eligible Activities

Available Funding

In 2021, the Infrastructure Investment and Jobs Act (IIJA) was passed, which continues to fund the TA Set-Aside program through fiscal year 2026, there is no absolute assurance that the TA Set-Aside will continue or that more funding will be available after that date. Sponsors must acknowledge that Congress may reauthorize the Federal Transportation Act in the middle of the 2027 TIP update process, resulting in changes in the program's existence and funding levels. If funding levels for the SPC TASA program are changes, the approved new project list will be revisited and adjusted to maintain consistency with new program funding levels.

The funding breakdown below describes how the funding is currently allocated to the SPC region; again, subject to change beyond September 30, 2026.

The SPC Region receives a yearly, direct sub-allocation of approximately \$3.8 million in federal TASA funds from PennDOT.

TASA funds must be obligated within two years of award. Failure to obligate the funds will result in loss of the funding. If project is determined to not be moving in a timely manner, the funds may be reallocation at the regional level.

TASA is not a grant program and no money is provided upfront. Approved costs will be reimbursed only after a reimbursement agreement between PennDOT and the project sponsor is signed and a Federal Form 4232 is authorized for the project. Since the TASA funds are for construction, in order to get an approved Form 4232, the project will have to have obtained the following PennDOT clearances: right-of-way clearance, utility clearance, railroad clearance, environmental (NEPA) clearance, and have an approved PS&E package. No activities performed prior to this federal clearance are reimbursable through the awarded funding. The maximum a project can receive in TASA funds is 1,500,000. The minimum project award is \$50,000 for non-infrastructure projects and \$200,000 for infrastructure construction projects.

Once a project is authorized to advance and begins to incur costs, the project sponsor will receive invoices from the selected contractor (through a PennDOT approved process) to construct the project. The project sponsor then reviews and approves these invoices before submitting them to PennDOT for payment. PennDOT processes the payment and provides payment to the sponsor, who in turn pays the service provider. The sponsor will only be reimbursed for actual approved project expenses, up to the amount approved for the project.

Eligible Activities

The SPC TASA Program is predominantly a construction only program (a few rare exceptions are described in the project eligibility section). The local project sponsor is responsible for completing all pre-construction activities (design, environmental clearance, right-of-way and utility relocation/coordination) with local funds. TASA funds can then be used for 100% of the construction phase by being paid from the federal share, up to the awarded amount. Construction inspection and construction management activities associated with approved projects, are eligible uses of TASA funds. Any costs exceeding the amount of funds awarded through the TASA process are the responsibility of the project sponsor. Please consult the *PennDOT Publication 740: Local Project Delivery Manual* for a detailed description of the requirements and procedures to utilize federal transportation funding. Also, see Appendix A for a list of other regulatory requirements associated with the TASA Program.

Project Eligibility

Project sponsors must become familiar with the 11 projects and activities that PennDOT considers eligible for funding through the TA Set-Aside.

The list below describes the 11 general project categories eligible for funding through the application process. Please note, this guidance provides a general framework of eligible types of projects and activities, but individual project eligibility will be considered on a case-by-case basis.

1. Bicycle and Pedestrian Facilities

Bicycle and pedestrian projects allow communities to make non-motorized transportation safe, convenient, and appealing. Projects may include on-road and off-road trail facilities that serve to meet transportation needs of pedestrians, bicyclists, and users of other non-motorized forms of transportation. Projects in this category should focus on increasing safety for these users. One approach to ensuring the safety of all roadway users is implementation of a Complete Streets approach, SPC encourages communities to adopt and implement Complete Streets policies that prioritize the safety of all users in transportation network planning, design, construction, and operations. Section 11206 of the BIL defines Complete Streets standards or policies.

Eligible Projects:

- New or reconstructed sidewalks or walkways
- Pedestrian and bicycle signs or signals
- Lighting that primarily benefits cyclists and pedestrians (Lighting Plan Requirements)
- Transportation projects that achieve ADA compliance, such as curb ramps
- New or reconstructed off-road trails that serve a transportation need, such as trails that provide connections to schools, parks, or other public places
- Crosswalks, bicycle lanes or sharrow pavement markings
- Widening or paving shoulders
- Bicycle parking facilities, such as bicycle lockers and bicycle racks (including those on buses)
- Bicycle and scooter share programs (including the purchase of bicycles/scooters) (see TASA Project Eligibility Q&A in the FHWA Guidance for more information)
- Shared use paths, side paths, and trails that serve a transportation purpose
- Bicycle and pedestrian bridges and underpasses
- Crossing improvements that shorten crossing distance, provide access, and/or primarily improve bicycle and pedestrian safety
- Traffic realignments, road diets, or intersection changes that improve bicycle and pedestrian access or safety
- Rails with trails projects, which are adjacent to active (not abandoned) lines
- Safe Routes to School construction projects that align with the projects above (see <u>FHWA's SRTS</u> program guidance for more information). Eligible Projects:
 - o Sidewalk improvements
 - o Traffic calming and speed reduction improvements
 - o Pedestrian and bicycle crossing improvements
 - On-street bicycle facilities
 - o Off-street bicycle and pedestrian facilities
 - o Secure bicycle parking facilities
 - o Traffic diversion improvements in the vicinity of schools

2. Bicycle and Pedestrian Education (grades K-12)

Active transportation safety and education programs for students in kindergarten through the 12th grade are eligible uses of TASA funds. These non-infrastructure projects that educate or encourage children to safely walk or bike to school are defined as eligible in MAP-21 (and subsequently the FAST Act and the IIJA) that extend eligibility for SRTS non-infrastructure items defined in <u>SAFETEA-LU</u>.

SRTS Non-Infrastructure Activities

For SRTS non-infrastructure projects, the sponsor shall pay for all activity planning, coordination, and development. PennDOT will fund the actual activities (such as a bicycle rodeo, educational assembly, safety outreach program, etc.) or items (e.g., crossing guard equipment, encouragement or safety items) at 100%. See <u>FHWA guidance</u> for eligible non-infrastructure projects and more information. Eligible Projects:

- Public awareness campaigns and outreach to press and community leaders
- Traffic education and enforcement in the vicinity of schools
- Student sessions on bicycle and pedestrian safety, health, and environment
- Funding for training, volunteers, and managers of safe routes to school programs

3. Conversion of Abandoned Railway Corridors to Trails

Rail-trails help to expand travel and recreational opportunities within communities. Converted rail corridors make ideal trails because of their flat grade, long length, and intact right-of-way. Rail-trails, as these types of trails are called, help to encourage physical activity and reduce air pollution.

TA Set-Aside funds can be used only for abandoned, rail banked or currently inactive rail lines; funds cannot be used to move or perform construction on active rail corridors. Funding for this category may also be used solely for purchase of railroad right-of-way or property, as long as future development of a public facility is planned.

Eligible Projects:

- Construction of multi-use trails within a railroad right-of-way
- Major reconstructions of multi-use trails within a railroad right-of-way
- Developing rail-with-trail projects, where there is an adjacent line that is no longer active
- Purchasing and converting unused railroad property for reuse as a trail

4. Construction of Turnouts, Overlooks, and Viewing Areas

By developing turnouts, overlooks, and viewing areas, communities can enhance the travel experience and supply an educational element that attracts tourists to local roads that are of scenic, historic, natural, cultural, archeological, and recreational significance.

Under this category, special attention should be considered in those areas that are designated as PA Byways by PennDOT, designated Heritage Areas by the Department of Conservation and Natural

Resources (DCNR), as well as those areas that are listed on the National Register of Historic Places and those areas affiliated with the National Park Service.

TA Set-Aside funds may not be used for the construction of visitor or welcome centers, or the staffing, operating, and maintenance associated those facilities. Marketing or promotion of such facilities is also ineligible.

Eligible Projects:

- Construction of turnouts, overlooks, and viewing areas
- Interpretive signage or kiosks explaining site significance
- Right-of-way acquisition for such facilities may be considered

5. Outdoor Advertising Management

The control and removal of outdoor advertising activity allows communities to preserve the scenic character of their roads by tracking and removing illegal and non-conforming billboards. Non-conforming signs are those signs that were lawfully erected but do not now comply with the Highway Beautification Act of 1965.

Eligible Projects:

- Billboard inventories, including those done with GIS/GPS
- Removal of illegal and non-conforming billboards

6. Historic Preservation and Rehab of Historic Transportation Facilities

Historic Preservation and Rehabilitation of Historic Transportation Facilities category allows communities to rehabilitate and restore surface transportation facilities of historic significance. These rehabilitated facilities serve to educate the public and to provide communities with a unique sense of character that attracts tourists and generates a vibrant economic life.

Eligible projects must rehabilitate, restore, or improve interpretation of a historic transportation facility. Historic transportation facilities must be listed or eligible for listing on the National Register of Historic Places. The Pennsylvania State Historic Preservation Office can help determine which areas or structures are listed. Contact the Preservation Office at 717-783-8946 or contact Elizabeth Rairigh at 717-705-4035 or erairigh@pa.gov for assistance.

Structures and facilities include tunnels, bridges, trestles, embankments, rails or other guide ways, nonoperational rolling stock, canal viaducts, tow paths and locks, stations, and other man-made surface transportation.

Eligible Projects:

- Restoration and reuse of historic buildings with a strong link to transportation history
- Restoration and reuse of historic buildings for transportation related purposes
- Interpretive displays at historic transportation related sites

- Access improvements to historic transportation related sites and buildings
- Restoration of railroad depots, bus stations, and lighthouses
- Rehabilitation of rail trestles, tunnels, bridges, and canals
- Increasing building accessibility, in accordance with ADA guidelines
- Heating or cooling systems *only when deemed necessary for preservation of the historic structure*; not for the comfort of guests or staff

7. Vegetation Management

Through the Vegetation Management activity, communities improve roadway safety, prevent against invasive species, and provide erosion control along transportation corridors.

Eligible Projects:

- Clearing of low-hanging branches or other vegetation encroaching on a travel corridor
- Landscaping to improve sightlines or other safety considerations
- Removal of invasive species
- Planting grasses or wildflowers to manage erosion along transportation corridors

8. Archaeological Activities

The Archaeological Activities category allows communities to explore the history in America with archaeological excavations and surveys in conjunction with highway construction projects.

Only projects related to the impacts of implementing a transportation project are eligible for funding under this category.

Eligible Projects:

- Research, preservation planning, and interpretation
- Developing interpretive signs, exhibits, and guides
- Inventories and surveys

9. Stormwater Management

Stormwater Management projects allow communities to decrease the negative impact of roads on the natural environment. Storm runoff over road surfaces carries pollutants into water, upsetting the ecological balance of local waterways and degrading water resources for humans and animal populations. Additionally, stormwater runoff may also erode soil, potentially reducing structural stability, augmenting flood events, and stripping soil from sensitive agricultural areas. Projects funded in this category seek to reduce these environmental impacts.

Eligible Projects:

• Detention and sediment basins

- Stream channel stabilization
- Storm drain stenciling and river clean-ups
- Water pollution studies

10. Wildlife Mortality Mitigation

Wildlife Mortality Mitigation allows communities to decrease the negative impact of roads on the natural environment. Roads can harm wildlife through habitat fragmentation and vehicle-caused wildlife mortality.

Eligible Projects:

- Wetlands acquisition and restoration
- Stream channel stabilization
- Wildlife underpasses or overpasses which may include bridge extensions to provide or improve wildlife passage and wildlife habitat connectivity
- Monitoring and data collection on habitat fragmentation and vehicle-caused wildlife mortality

11. Vulnerable Road User Assessment Activity

With IIJA, states are now required to develop and maintain a Vulnerable Road User (VRU) Safety Assessment by November 15, 2023. This assessment must perform a quantitative analysis of vulnerable road user fatalities and serious injuries, identify areas as "high-risk" to vulnerable road users, and develop a program of projects to reduce safety risks to vulnerable road users in these high-risk areas. Such projects will be eligible for TA Set-Aside funds. However, Pennsylvania's VRU assessment has not yet been completed, and high-risk areas have not yet been identified. Therefore, projects will likely not be eligible under this provision for the 2023 Statewide application round.

Vulnerable Road User Safety Assessments address the increasing number of fatalities and serious injuries involving non-motorists. Vulnerable road users include pedestrians, bicyclists, other cyclists, and persons on personal conveyance. See FHWA's Vulnerable Road User Safety Assessment Guidance for more information.

Ineligible Activities

Per MAP-21, and as continued in the FAST Act, some items previously eligible under the Transportation Enhancements program are no longer eligible. Notably, formerly eligible projects such as the creation and operation of transportation museums, and tourist and welcome centers are no longer eligible.

While general education programs for bicyclists and pedestrians are no longer eligible, active transportation safety and education programs for students in kindergarten through the twelve grade remain eligible. The eligibility of these programs and activities is preserved through provisions in MAP-21 (and subsequently the FAST Act) that extend eligibility for SRTS non-infrastructure items defined in SAFETEA-LU.

Project Eligibility Determinations

Project sponsors should design projects that clearly fit into the eligible categories defined in this document. SPC staff in consultation with PennDOT Central Office and FHWA will make the final determination on project eligibility and will disallow any project that is not clearly eligible. It is the responsibility of the project sponsor to present how the project aligns with the guidelines for eligible project activities.

Application Process

Project Applications

A complete TASA candidate project application will consist of several components. These include:

- Candidate Pre-Application form (required for all projects)
- Candidate Project Detailed Application form (required for all projects)
- Candidate Project Deliverability Form (required for all projects)
- Additional Information forms (as many as needed to fully describe the project)
- Supporting information (maps, drawings, photographs, reports, etc.)

Project sponsors should download the required pre-application form from the <u>SPC website</u>, enter appropriate information about the candidate project on each form, and follow the described submittal process. If supporting information is part of the project application package, the project sponsor should identify each piece of supporting information on an Additional Information form. The application forms are interactive files and completed forms must be saved as interactive files. Handwritten paper copies, or electronic copies without the interactive features cannot be accepted. *All fields in the Pre-Application are required to be completed*.

Completed forms and all supporting documents must be submitted electronically. Electronic submissions of all application material are required via SPC's SharePoint site. The applicant for the proposed project will be responsible for uploading the preapplication and final application zip files to a secure folder on SPC's SharePoint site. The SPC SharePoint site requires a username and password. *The project sponsor contact must contact Greg Shermeto at <u>gshermeto@spcregion.org</u> on or before July 17, 2025 to indicate intent to submit a pre-application and to obtain a temporary username and password.*

The applicant will be provided with a secure folder in which the application zip files are to be uploaded prior to the submission deadlines. Any technical difficulties using SPC's SharePoint site should be directed to Greg Shermeto at the email address listed above.

Application Review

SPC staff will review pre-applications submitted by the deadline for eligibility and notify applicants of any ineligible submittals. SPC staff will notify applicants and provide a link or file of the full, detailed application.

Projects that apply to the regional and also the PennDOT TASA program will be required to have a draft application consultation with the PennDOT District TASA coordinator and the SPC TASA coordinator prior to the detailed application. The goal of a Draft Application meeting is to complete a preliminary review of the proposed project to evaluate eligibility, scope of work, cost estimates, and to determine the feasibility of completion within the required timeframe. <u>The deadline for the full TASA application is September 15, 2025</u>. Applicants will be notified if the applications are incomplete and will be given one week to submit missing or incomplete information.

Project Prescreening

Screening criteria addresses provisions of the Infrastructure Investment and Jobs Act, and assesses consistency with SPC's current Long Range Plan *SmartMoves for a Changing Region* and local comprehensive plans. Projects must be shown to be consistent with FAST Act eligibility requirements, *SmartMoves for a Changing Region*, and the local or county comprehensive plan or they will not be considered further. In addition, applicable projects will be pre-screened for consistency with SPC's Complete Streets policy for this program.

Project Evaluation Criteria

All of the candidate projects will be evaluated on six TASA Technical Analysis Factors which evaluate the projects benefits in terms of connecting existing facilities, connections and access to activity centers and transit facilities, community improvements, environmental impacts, and to Environmental Justice communities. There are also four Ancillary Factors that rate each project on consistency with the SPC Long-Range Transportation Plan, the Congestion Management Process, contributions toward improving safety, and funding. Lastly, each project will be scored in terms of its readiness and deliverability.

SPC staff and the deliverability committee will evaluate each project based on the identified factors. A composite of all of the candidate projects and their ratings will then be presented to the TTC for final approval.

A majority of the factors rely heavily on outcome-driven, performance-based metrics. The increased focus on performance based planning and programming is a result of FAST Act (2015), which aims to create a streamlined and performance-based surface transportation program and builds on many of the highway, transit, bicycle, and pedestrian programs and policies originally established in ISTEA (1991). StateDOTs and MPOs must make investments and policy decisions to advance and promote the federal transportation planning factors:

(1) Support the economic vitality of the metropolitan area, especially by enabling global competitiveness, productivity, and efficiency;

(2) Increase the safety of the transportation system for motorized and non-motorized users;

(3) Increase the security of the transportation system for motorized and non-motorized users;

(4) Increase accessibility and mobility of people and freight;

(5) Protect and enhance the environment, promote energy conservation, improve the quality of life, and promote consistency between transportation improvements and State and local planned growth and economic development patterns;

(6) Enhance the integration and connectivity of the transportation system, across and between modes, for people and freight;

(7) Promote efficient system management and operation;

(8) Emphasize the preservation of the existing transportation system;

(9) Improve the resiliency and reliability of the transportation system and reduce or mitigate stormwater impacts of surface transportation; and

(10) Enhance travel and tourism.

These national goals are reflected in SPC's current Long Range Plan *SmartMoves for a Changing Region* through the plan performance measures, which are ultimately implemented through the TIP and programs like TASA.

Details on how each of the six TASA Technical Analysis Factors, the four Ancillary Factors and project delivery/readiness will be scored are presented below:

TASA Technical Analysis Factors

1. Connections to Existing Facilities or Network Expansion

The key to establishing an accessible, well connected network of bicycle and pedestrian facilities is building off of existing infrastructure and providing connections where there currently are none. A continuous, consistent system is safer and more attractive to users. Fragmented and inconsistent systems can create conflict points and confusion among users.

Projects that connect two or more existing alternative transportation facilities will receive a **High (3)** rating. Projects that extend an existing facility will receive a **Medium (2)** rating, and projects that will construct a new, independent facility that does not connect to any existing facilities will receive a **Low (1)** rating.

2. Access to Public Transportation Facilities

Encouraging people to use alternative modes of transportation (public transportation, bicycling, walking) in both work and non-work trips supports the overall regional vision, goals, strategies, and performance measures adopted in the current SPC long range *plan (SmartMoves for a Changing Region)*. Providing "last mile" connections (sidewalks, bicycle lanes, etc) as well as enhancements to the transit facilities themselves (benches, bicycle parking, bicycle racks for transit vehicles, etc) make utilizing alternative transportation modes more attractive to all users.

Projects in this category will be awarded points based on their level of integration with existing public transportation facilities such as commuter parking lots, transit stops and their connections to the surrounding community. Projects that seek to connect to public transportation facilities, provide accommodations at public transportation facilities, and provide bicycle racks on transit vehicles will receive a *High (3)* rating, projects that integrate two of the features will receive *a Medium (2)* rating and projects that incorporate one of these features will receive a *Low (1)* rating. Projects that do not seek to integrate bicycle and/or pedestrian accommodations with public transportation will receive 0 points.

3. Connections to Local Activity Centers

The integration of transportation and land use planning is a critical component in developing sustainable, attractive communities. To achieve the regional vision, it is important to link local activity centers throughout the region with the residential areas in which they serve and support via multimodal travel options. Activity centers include areas such as commercial/retail and employment centers, as well as civic, institutional and healthcare, educational, and recreational facilities. These areas can be in the form of a central business district, or a town center; a municipal building or complex; medical or health care facilities; schools, universities, colleges; and state, regional or local parks.

Projects in this category will be scored on the level of connectivity between residential areas and local activity centers. Projects connecting three or more of the listed activity center types will receive a *High* (3) rating. Projects connecting residential areas to two of the listed activity center types will receive a *Medium* (2) rating, and project connecting only one type of activity center with the surrounding residential area(s) will receive a *Low* (1) rating. Projects not providing any type of access or connectivity to activity centers will receive 0 points.

4. Safety

Safety is an essential consideration to all transportation improvement projects. In order to evaluate the safety impacts of the candidate TASA projects, similar project categories will be grouped together and calculated as follows:

- Active Transportation and Transportation Demand Management (TDM): Regional crash rate per million vehicle miles traveled*projected reduction in vehicle miles traveled = expected reduction in crashes. For Active Transportation projects, reduction in level of traffic stress will also be factored.
- Traffic Flow:
 - Two-way AADT* regional crash rate (by federal functional classification)*365/1,000,000 = number of crashes per mile
 - Number of crashes per mile*mileage of project = total number of crashes

• Expected reduction in crashes = total number of crashes*crash reduction factor¹

Projects that are expected to reduce 2.0 or more crashes per year will get a *High (3)* score. Projects with an expected reduction in crashes from .75 to 1.99 will get a *Medium (2)* score, and projects with an expected reduction of less than .74 crashes will score will score *Low (1)*. Projects with no demonstrable impact on safety will receive 0 points.

The number of pedestrians and cyclists, or vulnerable road users (VRUs), involved in fatalities and serious injuries on U.S. roadways has steadily risen. Addressing the safety of vulnerable road users is a critical aspect of the overall PA Strategic Highway Safety Plan. PennDOT analysis has identified the vulnerable road user high-risk areas for the SPC region.

VRU Bonus: Projects that include safety improvements for VRUs in the identified PennDOT high-risk VRUs areas will receive an additional bonus point.

Pedestrian Safety Bonus: Projects that include a proven counter measure for pedestrian safety will receive an additional bonus point.

5. Environmental Impacts

Mitigating the negative effects of transportation infrastructure on the environment (water, soils, vegetation, and wildlife) is an important consideration when developing new or reconstructing existing facilities.

Projects in this category will be scored based on the level of environmental mitigation being proposed as a component of the overall project. Projects that are solely focused on environmental mitigation, vegetation management, or wildlife mortality mitigation will receive a *High (3)* rating; projects that are not solely focused on environmental mitigation or vegetation management but have a strong environmental component will receive a *Medium (2)* rating. Projects that have only the required minimum environmental mitigation or vegetation management will receive a *Low (1)* rating. Projects not addressing any environmental mitigation or vegetation management will receive 0 points.

6. Community Demographic Analysis (Housing Units with No Vehicles Available)

Projects will be evaluated based on the positive impacts to the greatest number of Occupied Housing Units with No Vehicles Available (Census 2020).

Projects will receive a **High (3)** rating if the project is located in, and provides positive benefits to, a Census Block Group community that has greater than 12.47% of its occupied housing units with No Vehicles Available.

Projects will receive a **Medium (2)** rating if the project is located in, and provides positive benefits to, Census Block Group a community that has between 9.80% and 12.46% of its occupied housing units with No Vehicles Available.

¹Crash Reduction Factor (CRF) A CRF is the percentage crash reduction that might be expected after implementing a given countermeasure. (In some cases, the CRF is negative, i.e. the implementation of a countermeasure is expected to lead to a percentage increase in crashes.) A CRF should be regarded as a general estimate of the effectiveness of a countermeasure for planning purposes only. The estimate is a useful guide, but, ultimately, it remains necessary to apply engineering judgment and to consider site-specific environmental, traffic volume, traffic mix, geometric, and operational conditions which will affect the safety impact of a countermeasure.

Projects will Receive a **Low (1) Rating**: If the project is located in, and provides positive benefits to, a to Census Block Group community that is above the county average of occupied housing units with No Vehicles Available, but below 9.8%. Projects that are closely adjacent to Census Block Group community that is above the county average of occupied housing units with No Vehicles Available will also be considered in this category.

Projects not located in communities with Occupied Housing Units with No Vehicles available will receive 0 points.

A map is provided in Appendix B that shows the census block groups in the three rating levels for the region.

Ancillary Selection Factors

The ten federal transportation planning factors for federal highway programs and *SmartMoves for a Changing Region* through the plan strategies are ultimately implemented through the TIP and programs such as TASA. Details on how each of the Ancillary Selection Factors will be scored are presented on the following pages:

1. Consistency with the current SPC Regional Long Range Plan, *SmartMoves for a Changing Region*

All applicants must demonstrate consistency with the <u>Long Range Transportation Plan</u> (*Smart-Moves*) strategies, the Regional Vision, and related strategies (listed below). Project sponsors will be given a checklist with the following plan vision/strategies and will be asked to check each one that the candidate project will help to advance.

Vision:

A world-class, safe and well maintained, connected multimodal transportation system that provides mobility for all, empowers resilient and sustainable communities, and supports a globally competitive economy.

Relevant Strategies:

Integrate multiple forms of public/ private transportation to provide increased mobility equitably for all users including those in underserved rural areas and disadvantaged populations.

- Fund additional transportation infrastructure through private sector partnerships, user fees, value capture, and other appropriate mechanisms; broaden revenue tools available to local governments to fund infrastructure projects.
- Employ holistic planning for mobility and accessibility when developing and prioritizing projects. Make transportation improvements fit community context and enhance local quality of life.
- Promote institutional investment in older communities, repurposing versus demolition, and ensure that affordable housing is retained utilizing best practice models in the region for land use, vacant properties, and environmental strategies.

- Support and encourage transportation projects and programs that will contribute to attainment or maintenance of the national ambient air quality standards (NAAQS) for ozone, carbon monoxide (CO), and particulate matter (PM).
- Embrace and expand emerging infrastructure innovations and technologies including planning, design, materials, and construction processes for a more adaptable and resilient built environment.
- Invest in strategies that adapt to and decelerate the impacts of climate change. This includes investment in disaster preparedness, response, and recovery, as well as, creating awareness about climate change, its projected impacts, and regional strategies.
- Improve infrastructure efficiency through technology implementation in project development, design, construction, operation, and maintenance.
- Conservation of the region's natural resource assets and key tracts of land enhances environmental quality, natural land connectivity, habitat corridors, and agricultural lands preservation, and provides recreational opportunities for residents and tourists.

Projects that meet the Vision and seven (or more) Strategies, will receive a *High (3)* rating for this scoring factor, projects that meet the Vision and four to six Strategies, will receive *Medium (2)*, and projects that meet the Vision and one to three Strategies will receive *Low (1)*. Projects that do not advance the Vision or Strategies will receive 0 points.

2. Consistency with Active Transportation Plan and Complete Streets Policy

The Regional Active Transportation Plan for Southwestern Pennsylvania (ATP), is intended to provide not only a cohesive vision for primarily non-motorized travel across the region, but also technical guidance to local governments seeking to achieve their respective local active transportation goals. In addition, many counties, cities, and municipalities have prepared plans for improvement of the local pedestrian/bicycle network.

Does SPC's Active Transportation Plan identify this area as a significant gap in the current active transportation network?

Was documentation provided that the county or municipal Ped/Bike or greenway master plan identified this location as a significant gap in the active network or trail network for upgrades such as sidewalk, wide shoulder, sharrow or bike lane?

Does the project incorporate one of the counter measures or complete street elements from the SPC Competitive Programs Safety and Complete Street Checklist?

Projects that can answer yes to all three questions will receive a *High (3)* rating for this scoring factor, projects that answer yes to two questions will receive *Medium (2)*, and projects that answer yes to one question will receive a *Low (1)*. Projects that do not answer yes to any questions will receive 0 points. Additionally, projects in identified DCNR PA Priority Trail Gaps (https://maps.dcnr.pa.gov/trailgaps/) will receive 1 bonus point.

3. Community Improvement

Eligible community improvement projects (other than improvements made from bicycle and pedestrian infrastructure) include bicycle and pedestrian education (grades K-8 only); streetscapes and corridor landscaping²; historic preservation and rehabilitation of historic transportation facilities; outdoor advertising management; and the construction of turnouts, overlooks and viewing areas. These projects provide benefit to many aspects of the community from preserving historic, transportation related infrastructure for public use to educating children on how to safely navigate streets when bicycling or walking.

Projects in this category will be evaluated and scored based on the overall number of community improvement activities included in a candidate project. Projects solely focused on community improvements or projects that combine three or more community improvement elements in the scope of the project will receive a *High (3)* rating. Projects combining two community improvement elements will receive a *Medium (2)* rating and projects with one community improvement element will receive a *Low (1)* rating. Projects that do not address any community improvement elements will receive 0 points in this category.

4. Funding

There are two considerations for this factor: federal share and non-traditional funding sources.

Projects that bring significant non-federal and non-traditional funding to the TIP warrant additional consideration. Projects requesting funding of less than 50% of the total project cost from federal funding programs will get a *High (3)* score for this scoring factor. Projects requesting between 50% and 70% federal share will get a *Medium (2)* score. Projects requesting between 70% and 80% federal share will score *Low (1).* Projects with a federal share above 80% will score *Not Addressed (0)*.

In addition, projects that can show a firm, in writing, commitment of non-traditional funding to the project will get **1 additional point**. These projects are typically public/private partnerships that provide some of the required non-federal matching funds from private donations, philanthropic sources, local businesses, or other non-government resources. Documentation verifying sources and committed amounts must be included with the candidate's application.

Deliverability/Project Readiness

Project readiness and ease of deliverability of potential TASA projects are essential to maximize federal funding opportunities for the region, as all federal funds and programs have a deliverability timeframe that must be met or funding could be lost.

Readiness and deliverability evaluation criteria were established that will be used to review and evaluate project applications based on a range of factors. Applicants should review and become familiar with PennDOT's <u>Publication 740: Local Project Delivery Manual</u>. Applicants can also review PennDOT's Local Projects Website which includes Publication 740 videos that give an overview of the entire project

² While not expressively described under eligible activities, projects such as streetscaping and corridor landscaping may be eligible under TASA if selected through the required competitive process. Landscaping and scenic enhancement may be eligible as part of the construction of any Federal-aid highway project under 23 U.S.C. 319, including TASA-funded projects.

process. Each applicant will submit a project delivery checklist. Often during project development, issues arise that delay project delivery and these potential issues will be evaluated in the deliverability scoring. For example, projects with complex right-of-way, utilities, and / or railroad involvement are likely to score lower in regard to deliverability. Projects within existing right-of-way, with no utility or railroad involvement are likely to score higher. Each proposed project will be scored on applicant responses within the project delivery checklist section of the application. A nonpartisan Deliverability Committee will evaluate each candidate project in terms of its ability to be delivered on-time and within budget. This committee will be appointed by SPC staff and will serve as a separate committee that will inform the SPC Federal Competitive Programs Selection Committee. Utilizing the collective knowledge, experience, and removing any conflicts of interest, the committee will meet and discuss the scores and major discrepancies.

The Deliverability Committee will review each application and rate the project based on ten deliverability criteria. The ten deliverability criteria are outlined below. Applications that are non-infrastructure will not be ranked on, nor will consideration of the application be impacted by, right-of-way, railroad, or utilities. These criteria are further defined below:

1) Sponsor History

The number of projects the local sponsor already has in development and ongoing within SPC competitive programs will be considered when accessing the capacity to deliver the proposed project.

Sponsors that have managed a federal project successfully in the past, attended a training regarding local project management or PennDOT's Publication 740 training = 3.

Sponsors that have never managed a federal project but have attended a training regarding local project management or PennDOT's Publication 740 training = 2.

Those sponsors that are new to federal project management and have not attended a training regarding local project management or PennDOT's Publication 740 training = 1.

2) Political Support

Applicants should include examples of political support (letters of support, meeting minutes, etc.).

Application includes documentation of political support (resolution of support, political support letters, endorsed by local advocacy groups) = 3.

The application mentions political support, but no documentation provided = 2.

No discussion or documentation of community / political support = 1.

3) Community Support

Applicants should include examples of community (letters of support, meeting minutes, etc.).

Application includes documentation of community support (documented community request, evidence of positive public outreach) = 3.

The application mentions community support, but no documentation provided = 2.

The application does not mention community support.

4) Environmental Clearance / Permit Complexity

In addition to information included in the application the environmental deliverability criteria will use the SPC environmental project scoring, which is similar to the PennDOT Connects environmental scoring

and based on known environmental resources and constraints to help to evaluate the project area for environmental concerns. Each project will be mapped and run through the scoring model and assigned a score.

Project area has no known environmental concerns (public parks, historic, T&E, wetlands, potential hazardous waste) and low disturbance (Low Environmental Score) = 3.

Project area has high probability of encountering resources and moderate disturbance = 2.

Project area has high probability of encountering resources and a large disturbance footprint (High Environmental Score) = 1.

5) Other Agency/Entity Involvement

Applicants should show evidence that they have coordinated with other agencies that will need to be involved with the project. For example, if the proposed project is located on a State Route the project sponsor should show evidence that they have introduced and discussed this project with a representative of PennDOT. Another example is a project that spans multiple municipalities, or a project sponsored by a County who needs to coordinate with the municipality where the project is located.

No coordination needed with other agencies/entities = 3.

Medium level of other agency/entity involvement = 2.

High Level of other agency/entity involvement (PennDOT=SR, DCNR = Parks) = 1.

6) Project Readiness

Applicants should provide a well-defined scope, schedule, and cost estimate with details on how the estimate was developed. Applicants that include a design will score higher than applications with no design; the score may be impacted by the reviewing committees' interpretation of "design" vs. "planning concept". Projects that involve a purchase, program, or promotion will score a three unless the reviewing committee feels there would be delays with fund transfer or does not have a detailed plan for what is being purchased or promoted.

Application includes design plans completed by a consultant knowledgeable with PennDOT's requirements and/or the project is immediately ready to move into construction = 3.

Application includes design concept = 2.

Projects that are in the planning stages = 1.

7) Understanding Project Development Process

Project sponsors should consult *PennDOT Publication 740: Local Project Delivery Manual* in order to proactively identify any potential deliverability issues the candidate project(s) may experience and factor them into their schedules and cost estimates.

Sponsors who have hired a consultant that is very knowledgeable with PennDOT's project delivery process = 3.

Sponsors who have hired a consultant that is somewhat knowledgeable with PennDOT's project delivery process = 2.

Sponsors who have hired a consultant that is unfamiliar with PennDOT's project delivery process = 1.

8) Anticipated Utility Involvement

Application should show an understanding of what utilities are in the project area and whether any may be impacted.

There is no known utility involvement or less than 24 inches of excavation = 3.

High probability of encountering utilities but low probability of relocating = 2.

High probability of encountering utilities and high probability of relocating = 1.

9) Railroad located within or adjacent to the project area

Application should note whether a railroad or at-grade crossing is within the project area and may be impacted / altered. Application should note whether an adjacent railroad could be involved (need for protection services, access permits, etc.).

No railroad in project area = 3.

Railroad nearby but low probability of involvement = 2.

Railroad involved = 1.

10) Right-of-Way Acquisition

Applicant should note if the project can be constructed entirely within existing public right-of-way, and be ready to prove with documentation, should the application be awarded a grant. If right-of-way is required for the project, the application will generally score lower than projects with no required right-of-way. Even if the right-of-way is owned by the sponsor, a review from PennDOT Right-of-Way unit may be required.

Project is entirely on local sponsor owned transportation right-of-way or Department owned right-of-way = 3.

Project involves temporary construction easements or minor strip takes or acquisition of right-of-way has already begun = 2.

Project involves right-of-way acquisition, but no ROW plan in development = 1.

The criteria are then weighted according to the anticipated effect on timely delivery. For example, rightof-way acquisition is weighted higher than sponsor history. These scores are combined into one deliverability score for each project.

Appendix A: Other Regulatory Requirements

There are a number of State and Federal regulatory requirements that apply to this program. Most, if not all, of these requirements (competitive bidding, minority business participation, Davis Bacon Act, prevailing wage rates, Buy American Act, and Americans with Disabilities Act) can be unfamiliar to project sponsors. In most cases, for compliance with environmental regulations during preliminary engineering, it is expected that project sponsors will secure professional assistance familiar with PennDOT policies and procedures (consulting engineers) to assist them in satisfying these requirements and advancing their project. PennDOT District staff should be contacted to assist with the interpretation and application of these requirements. In addition, as stated above, sponsors should refer to the <u>PennDOT Local Project</u> Delivery Manual; PennDOT Publication 740, June 2019.

A list of some of these requirements, as well as a brief discussion of each, follows.

Agreements and Eligible Costs

The project sponsor must execute the required agreement(s) with PennDOT or an FTA grant prior to proceeding with any work on the project. Any project costs incurred prior to the execution of a reimbursement agreement for which federal dollars are requested will not be eligible for reimbursement. PennDOT will provide guidance, if requested. Interest payments made by municipalities or other project sponsors to finance any portion of the project costs are not reimbursable. Reimbursement agreements are required for each phase of the project (Preliminary Engineering, Right of Way, Construction). PennDOT reimbursement agreements are typically prepared and executed in their online <u>Reimbursement Agreement System</u> (RAS).

Proprietary Items

The project specifications must list "three manufacturers" or "approved equal" or a generic specification. If a proprietary item is required, an approval letter from PennDOT Central Office will need to be obtained. A sample request letter can be provided if required by any sponsor. All items must also be Bulletin 15 approved, or a waiver will be required.

Budget and Cost Estimating

The sponsor must demonstrate that there is an acceptable funding strategy for the project. A well-defined scope of work is needed to develop an accurate budget. Budget considerations are very important, and an itemized list of anticipated expenses (including labor, supplies, materials and other anticipated costs) should be provided in an application attachment. The budget must be prepared and should be divided into project development phases that include environmental clearance, right-of-way, design and construction phases. The budget should identify all sources of funding and how each itemized activity will be funded. Estimated funding for the project that may be from sources other than TASA/CMAQ/CRP

should be identified, such as other federal funds, state, local, donated services, in-kind services, volunteer, etc. In the case of TASA funded projects, the preliminary engineering and right-of-way are not funded by TASA funds, a complete budget is needed to ensure that the applicant can fund the required project phases. Speaking with PennDOT Engineering District staff and other professionals familiar with PennDOT policies and regulations – such as architects, designers, engineers, contractors or other appropriate individuals that have PennDOT project experience – is highly recommended.

The budget section of the application must be completed and divided into project development phases that include preliminary engineering, final design, right-of-way, utilities and construction phases. The budget should identify all sources of funding and how each itemized activity will be funded.

TASA Pre-Construction Costs

In the case of TASA funded projects, the project sponsor is responsible for funding pre-construction activities; they are not eligible for TA Set-Aside funds. Funding for pre-construction phases may come from any combination of federal, state, local, or private funds. The sponsor should also list any donated, in-kind, and volunteer services, including those from the Youth Conservation Corps. Even though the preconstruction activities are not funded by program funds, a complete budget is needed to ensure that the sponsor can fund the required project phases. Development of the pre-construction phase will be expected to be consistent with the <u>PennDOT Connects</u> process.

Project Construction Cost Estimate – Bid Items

For the construction phase, the project sponsor must develop and attach to the project application a construction cost estimate that includes the following:

- ECMS Item numbers (optional)
- Bid Item Description/Name
- Quantity
- Unit of Measure
- Unit Price
- Item Total Cost

Example:

ECMS ITEM NO.	ITEM DESCRIPTION	QUANTITY	UNIT	UNIT COST	ITEM COST
0608-0000	MOBILIZATION	1	L.S.	\$27,000.00	\$27,000.00
0686-0001	CONSTRUCTION SURVEYING	1	L.S.	\$8,000.00	\$8,000.00
0901-0001	MAINTENANCE AND PROTECTION OF TRAFFIC	1	L.S.	\$15,000.00	\$15,000.00
0849-0010	ROCK CONSTRUCTION ENTRANCE	2	EA	\$3,500.00	\$7,000.00
0866-0005	HEAVY DUTY SILT BARRIER FENCE	100	L.F.	\$11.00	\$1,100.00
0867-0012	COMPOST FILTER SOCK, 18" DIAMETER	200	L.F.	\$10.00	\$2,000.00
0201-0001	CLEARING AND GRUBBING	1	L.S.	\$5,000.00	\$5,000.00
0627-3020	TEMPORARY BARRIER, TEST LEVEL 3, <i=2'< td=""><td>120</td><td>L.F.</td><td>\$70.00</td><td>\$8,400.00</td></i=2'<>	120	L.F.	\$70.00	\$8,400.00

Project Construction Cost Estimate - Inflationary and Other Costs

Construction Inspection Costs

Construction inspection costs must be included in the total construction costs. For projects under \$1 million in total construction costs, up to 15% of the construction costs is allowed for project inspection. If a project has a construction cost of over \$1 million, up to 12% of the construction costs is allowed for the inspection cost.

<u>Contingency Costs</u>

Project sponsors may add up to 10% to the cost of the construction phase of the project (not the inspection phase) to account for unforeseen expenses.

Inflationary Costs

Project sponsors must design their project cost estimates for the year in which the project is anticipated to be constructed, not the year that the application is submitted. Most projects selected in this application round will go to construction in calendar year 2029 or later, depending on the complexity of the project and the degree to which the sponsor has advanced project design. For the purposes of cost estimating, it is recommended that project sponsors consider inflationary costs. An inflation rate of 3% per year is acceptable. Project sponsors should create project cost estimates that assume construction in 2029 or later.

Please enter the federal funds requested for the project. The following costs may be added to the total construction cost:

- Up to two years of inflation at 3% per year
- Contingency costs may be included, but may not exceed 10%
- Construction Inspection (15% for projects < \$1 million, 12% for project \ge \$1 million)

Based on the project, and in consultation with the consultant and PennDOT District, the estimate may also need to contain allowances for the items below:

- Administration/Department Costs
- Maintenance and Protection of Traffic
- Erosion and Sedimentation Control
- Inspector's Field Office, Equipment Package, and Microcomputer
- Mobilization
- Stormwater
- Drainage
- Pre-Construction Schedule
- Construction Surveying
- Internal Facilitation

It is also important to note that PennDOT pre-qualified contractors will be required, and that Davis Bacon wage rates will apply. These factors will increase project costs above that of a typical municipally funded project.

Cost Estimating Resources

In addition to working with the consultant and PennDOT District personnel, please reference <u>PennDOT Pub 352 - Estimating Manual</u> which will provide more detailed information.

As sponsors develop their estimated budget, they should talk with PennDOT Engineering District staff and other professionals familiar with PennDOT policies and regulations, such as architects, designers, engineers, contractors, or other appropriate individuals that have PennDOT project experience. **Important**: Project sponsors should design their project cost estimates for the year in which the project is anticipated to be delivered (current cost + 3%/yr. inflation). Note also that 12-15% of the estimated construction cost will be needed for construction inspection. The 12-15% construction inspection cost must be included in the Total Construction Costs. In addition, project sponsors should factor in "Commonwealth incurred costs" at approximately 2% of the total construction cost.

Reimbursement

TASA/CMAQ/CRP are federal cost reimbursement programs, and no money is provided upfront. No reimbursement will be provided for costs incurred before a Federal Form 4232/FTA grant agreement is authorized; *this does not occur automatically once a project is awarded or placed on the TIP*. Sponsors are advised to contact PennDOT District or SPC staff soon after their notice of award has been received to review the next appropriate steps.

PennDOT utilizes a registered business partner in the Electronic Construction Management System (further explained below) and become a vendor in the SAP system.

Project Invoicing and Payments to Contractors

Once a project is authorized to advance and begins to incur costs, the project sponsor will receive periodic "certified invoice" process whereby project sponsors, upon receipt of invoices from the contractor, reviews and – if they concur with the reported expenses – approves the invoices and submits them to PennDOT. PennDOT will then initiate a procedure to pay the sponsor. Upon receipt of reimbursement from PennDOT (usually 4-6 weeks) and provides the approved funds to the sponsor. Upon receipt of payment from PennDOT, the sponsor has up to ten days to pay the contractor after getting reimbursed from PennDOT. By using this process, the project sponsor does not typically have to use their own funds. The sponsor will only be reimbursed for actual approved project expenses, up to the amount approved for the project. This process is further described in the PennDOT Local Project Delivery Manual; PennDOT Publication 740, June 2019, which is provided to awarded project sponsors.

Transit projects may follow the Federal Transit Administration (FTA) reimbursement procedures.

Electronic Construction Management System (ECMS)

ECMS is the communication portal between PennDOT and Business Partners for conducting transportation projects. It is used from project bidding through the construction close-out process. In most cases, sponsors of TA Set-Aside projects will need to register as a <u>PennDOT ECMS Business</u> <u>Partner</u> for administration of their project.

The vast majority of local projects are bid by PennDOT in ECMS, on behalf of the project sponsor. Generally, plans are prepared according to Publication 14M, Design Manual 3, Plans Presentation. Your designated District Project Manager will be familiar with plans preparation and how projects must be entered into ECMS.

In the rare instance that a project is not bid by PennDOT in ECMS (known as a paper let) the project sponsor must request approval in writing. A request letter must be submitted to the designated PennDOT District Project Manager at the onset of the Project Development Process. The PennDOT District Project Manager then determines eligibility and obtains approval from PennDOT Central Office.

Registering as a Business Partner

All organizations that will receive payments from the Commonwealth of Pennsylvania or that will receive grant or loan money from the Commonwealth, must have an SAP number for the payments to be processed. Project sponsors not already registered as a vendor with the Commonwealth must also acquire a SAP vendor number.

PennDOT Connects

PennDOT recognizes the profound economic and quality of life implications that transportation has on communities. To better identify the needs of communities early in the project planning process, PennDOT Connects requires the consideration of local planning studies, comprehensive plans and other local government input at the onset of project planning.

PennDOT District Planners, with SPC staff support, coordinate PennDOT Connects meetings to consider local planning and local government input on projects, this effort includes CMAQ funded projects. If the CMAQ project is not already sponsored by a local government entity, PennDOT, with support from SPC staff, will conduct applicable PennDOT Connects efforts.

Public Involvement

Early and continued public involvement in program activities will need to be sought to ensure consistency with the requirements for public involvement in the metropolitan and statewide planning regulations and with the National Environmental Policy Act (NEPA) project implementation guidelines. The applicant should contact SPC for more information. Generally, the public involvement

activities handled through the application review and TIP approval process by SPC fulfills this requirement. However, the project sponsor should discuss their project locally in a public format, such as at local planning commission and/or municipal meetings, particularly if municipal approval, zoning amendment, etc. is needed.

Environmental Clearance

All projects will require an environmental clearance document as part of the preliminary engineering phase of work. The level of effort varies by the type of project, the anticipated impact and the degree of public controversy. The NEPA documentation may be a Categorical Exclusion (CE), Environmental Assessment (EA), or Environmental Impact Statement (EIS). Preparation of the document can be a cooperative venture. Normally, at the project scoping, a decision will be made on the type of documentation required and which entity will prepare the document. The project sponsor or their consultant will be required to prepare the environmental clearance document. At times, there may be costs associated with obtaining environmental clearance. It is important to note that project sponsors should not begin any construction activities or site preparation prior to receiving their environmental clearance has been obtained for the project. For PennDOT projects environmental clearance will be prepared in the online <u>Categorical Exclusion Expert System</u> also known as the CE Expert System.

Consultant Selection Procedures

There are various steps that need to be followed to advance a federally-funded project into the design phase starting with selecting an engineer/consultant who will complete the work. These federal procedures must be followed. Note that they may be different than the procurement procedures normally used by the project sponsor for their non-federally funded projects. Prior to advertising for consultant, all Local Project Sponsors must have their selection procedures reviewed by their respective PennDOT District Office (or FTA Region III office, if the CMAQ funds will be administered through an FTA grant). The District (FTA) will review the documentation and, if appropriate, forward their concurrence to the PennDOT Bureau of Project Delivery, Contract Management Section for review and approval. The Contract Management Section will document approval of the selection procedures via a letter to the District, who should notify the Local Project Sponsor. If this process is not followed, federal funds may be jeopardized. This approval process is found in <u>PennDOT Publication 93</u>, Chapter 7.3.3, Consultant Selection Procedure Approval, and Appendix 7A, Policy and Procedure for Consultant Selection. Selection Procedures need only to be approved one time (after July 1, 2011) and are acceptable to be used for future advertisements. If the Selection Procedures undergo significant revisions at any time, then they must be re-approved.

Projects must follow standard federal/state procedures for all phases of work. Project sponsors should acquire the services of a qualified Project Manager to oversee the development and implementation of the project (including construction inspection) and ensure compliance with all state and federal requirements. This professional is typically an engineer, architect, or landscape architect may be appropriate, depending upon the nature and scope of the project. It is important to

recognize that the project sponsor, not PennDOT, employs design and/or construction professionals at their own expense.

It is highly recommended that the selected consultant has experience with PennDOT specifications and has demonstrated experience in the successful delivery of TASA/CMAQ/CRP projects. Please consult the <u>PennDOT Publication 740: Local Project Delivery Manual</u> for qualifications of a PennDOT experienced consultant.

Design and Implementation Requirements

Certain projects will be required to adhere to design requirements defined in <u>PennDOT Design</u> <u>Manual, Part 1, Publication 10</u>. Specifically, Chapters 6 and 7 address key design requirements, including NEPA requirements, preliminary and final design processes, and key procedures for obtaining right of way and utility clearances. If required for the project, these steps, requirements and standards must be followed by the sponsor's project designer for the project to be funded through the TASA/CMAQ/CRP Program.

Right-of-Way Clearance

All right-of-way acquisition must follow federal regulations, including the Uniform Act (Uniform Relocation Assistance and Real Property Acquisition Policies of 1970). In particular, property owners must be advised that federal funding is being used to implement the project, and they are entitled to fair market value for their property. The property owner must be informed of this value, as determined by a qualified appraiser. In addition, if the sponsor does not have the authority to acquire property by eminent domain, the property owner must be so advised prior to any offer being made. This requirement does not preclude the voluntary donation of property to the project. Federal funds are not available for land that is already within the public domain, e.g., owned by a municipality; however, such land may be donated to the project as part of the sponsor's investment. Right-of-way certification will be required for all projects prior to advertising for construction bids.

NOTE:

- The requirements of the Uniform Act apply to any recent acquisition, regardless if federal funds are used for the purchase. Please contact your PennDOT Engineering District Right-of-Way Administrator if you have any questions or need specific guidance.
- Only projects for conversion of abandoned railway corridors and scenic overlooks are eligible to use TASA program funds for right-of-way acquisition.
- Due to deliverability concerns, sponsors are encouraged not to utilize CMAQ funds for rightof-way acquisition. Requests for this usage will be evaluated on a project-by-project basis.
- More information is available in Chapter 5, Right of Way Phase, of the Local Project Delivery Manual.

Utility Clearance

All projects must have a utility clearance form (PennDOT Form D-419) processed *prior* to the advertisement for bids. This procedure requires that the sponsor certify that all necessary arrangements have been completed for the relocation of any affected utility. PennDOT personnel will provide assistance with this process. PennDOT personnel will aid with this process. Due to deliverability concerns, sponsors are encouraged to not utilize CMAQ funds for the utility clearance phase of the project. Requests for this usage will be evaluated on a project-by-project basis. For PennDOT projects, PennDOT's <u>Utility Relocation Management System</u> (URMS) will be utilized for utility clearance.

Permits

It is the responsibility of the project sponsor to secure all necessary permits to design and/or implement the project. These may involve permits from the Pennsylvania Department of Environmental Protection or the U.S. Army Corps of Engineers, as well as local municipal permits and zoning approvals, PennDOT highway occupancy agreements, etc. The assigned PennDOT District Project Manager and/or hired consultant will be familiar with the permits required for each project and can provide guidance, as necessary.

Railroad Coordination

If your project involves a bridge over a railroad, a bridge that carries a railroad, a railroad grade crossing or would require acquisition or an easement on railroad property, you will need to involve the owner of the rail line early. This initial contact, which may be facilitated by your PennDOT District Project Manager in consultation with your District's Grade Crossing Engineer/Administrator, should define the proposed project scope and timeframe. In the case of Norfolk Southern, they offer a Public Projects Manual, which outlines their process for projects that go along, over, or under their rail facilities.

Projects involving railroads are likely to involve additional expenses (including direct costs to the railroad for design and plan reviews). Additionally, railroad coordination often leads to delays or project cancellation. As such, if possible, it is recommended that reasonable options to avoid impacting the railroad be explored.

Public Utility Commission Involvement (including Railroads)

Certain projects may require the involvement of the Public Utility Commission. It will be the responsibility of the project sponsor to contact the Public Utility Commission to secure the necessary actions by that agency. The Public Utility Commission could help to resolve disputes between PennDOT and utility companies or if the local project was to "alter" a highway/rail crossing. The PUC may also be involved if the proposed project involves facilities designed for bicycle use, including shared use paths and most rail trails.

Projects Involving Lighting

For any project that includes lighting, a lighting plan will be required, which may add time and cost to your project. For more information, reference Chapter 4.9, Section H of the <u>PennDOT Design</u> <u>Manual Part 1C</u>.

For guidance specific to TA Set-Aside projects, please reference "PennDOT Highway Lighting Requirements and Design Approval for TA Set-Aside Projects" document. Your PennDOT District TA Set-Aside Program Coordinator will also be familiar with these requirements.

Bidding

For projects that require a contractor to perform physical construction or rehabilitation, the sponsor's professional will assemble the contract proposal package. PennDOT's Engineering District Office will review the Plans, Specifications, and Estimate (PS&E) package.

PennDOT will bid the project(s) through the ECMS system. <u>ECMS</u> (Engineering and Construction Management System) is an internet-based computer system used to manage the design and construction of PennDOT projects. Local project sponsors are required to register as a <u>PennDOT</u> <u>ECMS Business Partner</u>, as is any organization that has a business relationship with PennDOT. FTA grants will have a different procedure.

Bid Savings and Bid Overages

Each selected project has been approved for a specific scope of work and funding level, based on the information submitted by the project sponsor and approved by the SPC Transportation Technical Committee. While each project is awarded a set amount, it is important to understand that PennDOT's focus is to deliver awarded projects; the funding provided is not an absolute award to the project sponsor. It should not be assumed that additional work can be performed if bids come in lower than the awarded amount.

Once bid, if the lowest bid falls below the project award amount, PennDOT captures the bid savings and returns them to the TIP Line Items. Again, delivery of the awarded project, not the dollar amount is key.

When bids exceed the design estimate, the sponsor will be asked to contribute toward the bid overage. If necessary, the District Project Manager will work with SPC and PennDOT Central Office to find additional funds to leverage the project sponsor's contribution; however, it cannot be assumed that additional funds will be available and there may be cases where the sponsor must bear all additional costs.

Construction

Project sponsor's contractor may proceed with the construction phase of the project only upon receipt written authorization (notice to proceed), which ensures that all necessary approvals have been secured. PennDOT Pub 740 requires a mandatory 12 weeks built into the pre-bid construction schedule between the let date and the issuance of the notice to proceed.

An approved contractor must perform construction. All materials used in conjunction with the project must meet project specifications and special provisions included in the Plans, Specifications, and Estimate package.

NOTE: 12-15% of the estimated construction cost is used for construction inspection.

Cost Increases/Changes in Scope of Work

Each programmed project (CMAQ -undergone air quality analysis) has been approved for a specific scope of work and funding level based on the information submitted by the project sponsor. When preparing a project scope and cost estimate, all project materials and labor costs should reflect the anticipated year of construction. It should not be assumed that cost increases can be covered with state or federal funds. There may be cases where the sponsor must bear any unforeseen project cost increases. It should be noted that **only the project in which funding is awarded is the project that** must be constructed. If the project that is awarded funding cannot proceed, the funding will return to the SPC TIP line item and will be reallocated to another regional project that has been competitively selected.

Maintenance

The project sponsor will be responsible for all costs associated with the maintenance and operation of the project after construction. No Federal funding will be provided for ongoing maintenance and operations costs. Failure by the sponsor to fulfill its maintenance responsibilities may result in the loss of future state and federal funds for private sponsors and the withholding of liquid fuels funds for municipal sponsors. The sponsor may transfer project maintenance and operation to another party with concurrence from the Department. As part of the application for funding, the sponsor should clearly illustrate its capacity to carry out on-going maintenance once the project is complete.

The sponsor must establish a formalized inspection and maintenance program, to be performed by its own or contract personnel, to ensure an acceptable level of physical integrity and operation consistent with the original design standards. This maintenance program, established in accordance with standards determined to be acceptable to PennDOT, must include, but is not limited to:

- Periodic inspections
- Appropriate preventive maintenance (i.e., cleaning, lubricating, refurbishing electrical equipment, etc.)
- A systematic record-keeping system
- The means to handle notification and implementation of emergency repairs

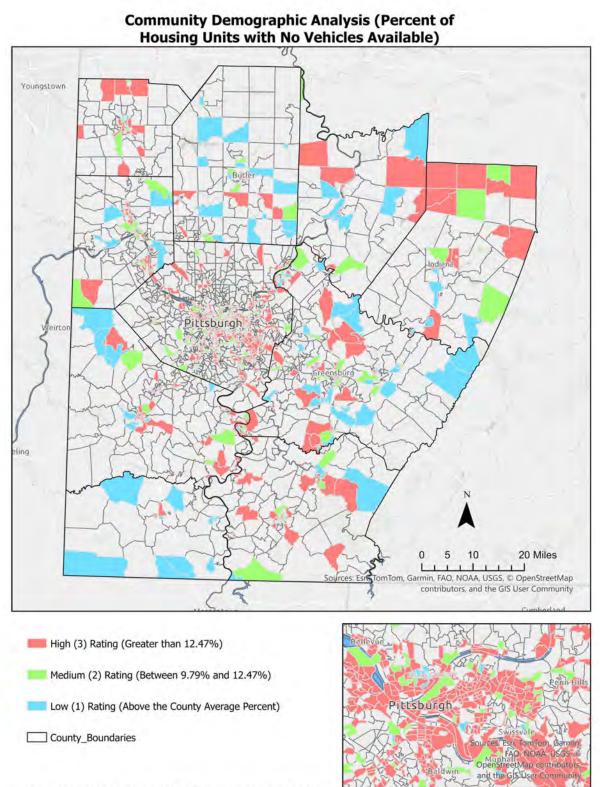
Failure by the project sponsor to fulfill its maintenance responsibilities may result in the loss of future state and federal funds.

Project Reporting and Cancellation

A project sponsor may, at any time in the project development process, decide to cancel the project and drop out of the program. The project sponsor will be responsible for the reimbursement of all federal funds received as of that date, as well as for PennDOT staff costs incurred as a part of the project. The sponsor will also be responsible for payment of all outstanding invoices to all project contractors. At a project kickoff meeting a joint staff of SPC and PennDOT Engineering District choose the timeframe and the specific milestones to be evaluated. Examples include reimbursement agreement, plans approved, etc.

Over the life of the project, reviews will be undertaken by joint staff to determine if significant progress has been reached toward the established milestones. During these reviews, if it is determined that insufficient progress has been made, the applicant will be warned that the project is in jeopardy of losing funding. If the project must be cancelled due to lack of progress or other unforeseen circumstances, the project sponsor will be notified by SPC (via written letter) that the project funding is no longer available, and the project has been cancelled.

Appendix B: Community Demographic Analysis (Percent of Housing Units with No Vehicles Available)



Source: 2019-2023 American Community Survey (ACS) 5- Year Estimates by Census Block Group

SPC TASA Coordinator

Ryan Gordon rgordon@spcregion.org (412) 391-5590

PennDOT Districts

PennDOT District 10 Jeffrey Matko <u>imatko@pa.gov</u> (724) 357-2526

PennDOT District 11 Dina Salemi dsalemi@pa.gov (412) 429-2899

PennDOT District 12 Josh Theakston jtheakston@pa.gov (724) 437-3147

PennDOT Center for Program Development and Management

Statewide TASA Coordinator Justin Cambric jcambric@pa.gov (717) 705-1532

B. 2025 Pre-Application

Project Name:

MPMS# (if applicable):

Southwestern Pennsylvania Commission (SPC) 2025 Federal Funding Pre-Application

*Please refer to the SPC 2025 Federal Funding Program Guidance while completing this application.

Project Name:

Project Sponsor:

Sponsor Type:

Project Ownership:

Other Participating Agencies (if applicable):

Agency with Operating/Maintenance Responsibility:

Project Category (Check all that apply.)

Alternative Fuel Vehicles and Technology	Planning & Redevelopment
Archaeological Activitie	Rail vay Corrigor trai Gamericsions
Bicycle/Pedestrian Education	Roau/Intersection/Network Improvements
Bicycle/Pedestrian Improvements	Safe Routes to School
Construction of Turnouts, Overlooks, Viewing Areas	Stormwater Management
Corridor Management/Congestion Reduction	Streetscapes/Traffic Calming
Diesel Emissions Reduction	Traffic Flow Improvements
Electric Vehicle Charging Stations	Traffic Monitoring and Management
Historic Preservation, Transportation Facility Rehab	Transit Improvements/Transit Programs
Intelligent Transportation Systems	Transportation Demand Management
Intermodal/ Transit Oriented Development	Vegetation Management
Land Use & Transportation Linkage	Wildlife Mortality Mitigation
Outdoor Advertising Management	Other:

Project Description

In the space below, provide a project description that accurately and concisely summarizes the candidate project or program. The project description must focus only on the elements of the project or program for which funding is being proposed.

Project Location

Municipality:

County:

Describe the project location and/or coverage area. If the project is able to be mapped, a map is required for the project. The project location information provided must allow SPC staff to accurately locate the project on a local street map. Please attach a PennDOT Type 10 map, hand drawn map, or a map produced by a GIS system or equivalent showing project details (location, routes, coverage, etc.). If a map is not attached, explain why not.

ith Planing FaX's ample

Consistency with Pl

Does the Proposal Advance and Promote the federal transportation planning factors? Check all that apply.

- (1) Support the economic vitality of the metropolitan area, especially by enabling global competitiveness, productivity, and efficiency;
- (2) Increase the safety of the transportation system for motorized and non-motorized users;
- (3) Increase the security of the transportation system for motorized and non-motorized users;
- (4) Increase accessibility and mobility of people and freight;
- (5) Protect and enhance the environment, promote energy conservation, improve the quality of life, and promote consistency between transportation improvements and State and local planned growth and economic development patterns;
- (6) Enhance the integration and connectivity of the transportation system, across and between modes, for people and freight;
- (7) Promote efficient system management and operation;
- (8) Emphasize the preservation of the existing transportation system;
- (9) Improve the resiliency and reliability of the transportation system and reduce or mitigate stormwater impacts of surface transportation; and
- (10) Enhance travel and tourism.

Project Name:	MPMS# (if knov	MPMS# (if known):						
Is the project consistent with Smart Moves for c	Yes	No						
Check all that apply.								
 Connected mobility: A world-class, safe and well maintained, integrated transportation system that provides mobility for all 								
 Resilient communities: The revitalization of our communities will make us a magnet for new investment. Intensive investments in connectivity, walkable neighborhoods, and green infrastructure will attract businesses and residents to newer and older communities alike 								
- <i>Globally Competitive Economy:</i> Strategic infrastructure investments and workforce training will make the region recognized as a global leader in technology and innovation								
Is the project consistent with local comprehens	ive plans?	Yes	No	N/A				
If yes, select all local comprehensive plan types that apply.								
County Municipal Other:								
List the title(s) of the how it is consistent. EXAMPLE A second describe								
Project Funding								
Total Project Cost:	Federal Funding Re	equested:						
Anticipated Phase(s) for Federal Funding:								
Some Federal funding programs require a match.								

Do you have a source of up to a 20% match (per phase)?

Yes No

Project Name:

MPMS# (if known):

Applicant Contact Information

Contact Name:	Contact Title:	
Agency:		
Street Address:		
City:	State:	Zip:
Phone:	Email:	

Certifications

I certify that, as a project sponsor, all state and federal project development requirements will be met.

Applicant Signature:

Pre-Qualification Applications are due to SPC by COB July 17, 2023. Please save completed application and submit with any relevant attachments to Greg Shermeto at: <u>gshermeto@spcregion.org</u> Instructions on submitting final applications (due September 15, 2023) will be provided up in receipt of pre-application.



C. Links to FHWA Guidance, PennDOT Pubs, and Additional Info

The links presented below provide information and guidance about the SPC Federal Programs and related topics that should be very useful to project sponsors as they complete their candidate project applications. Click on the hyperlinks for access to each resource item.

- SPC Homepage
- PennDOT Local Project Delivery Manual (PennDOT Publication 740, June 2019)
- PennDOT Local Project Delivery Website
- Bipartisan Infrastructure Law (BIL)
- > Code of Federal Regulations: Planning Assistance and Standards
- FHWA CMAQ Information Webpage
- FHWA CMAQ Program Interim Guidance, November, 2024
- > FHWA Transportation Alternatives Set-Aside Guidance
- > SPC Active Transportation Resource Center
- > SPC Transportation Demand Management Strategic Action Plan
- > Air Quality Nonattainment or Maintenance Areas